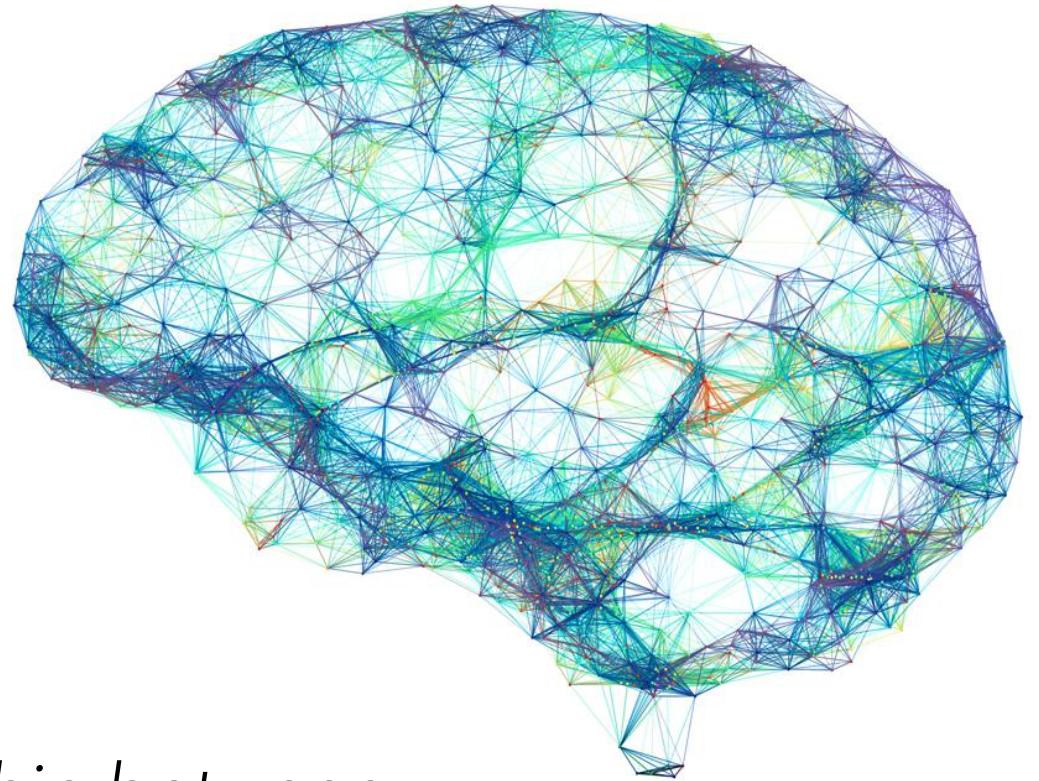


Connecting Variational Autoencoders *Back to the Brain*

arXiv:2011.07464

Joseph Marino

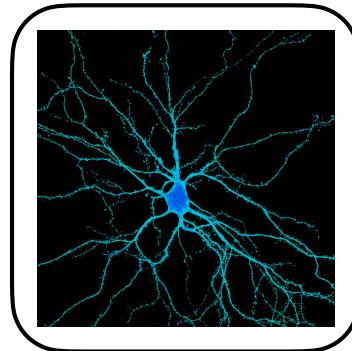
California Institute of Technology



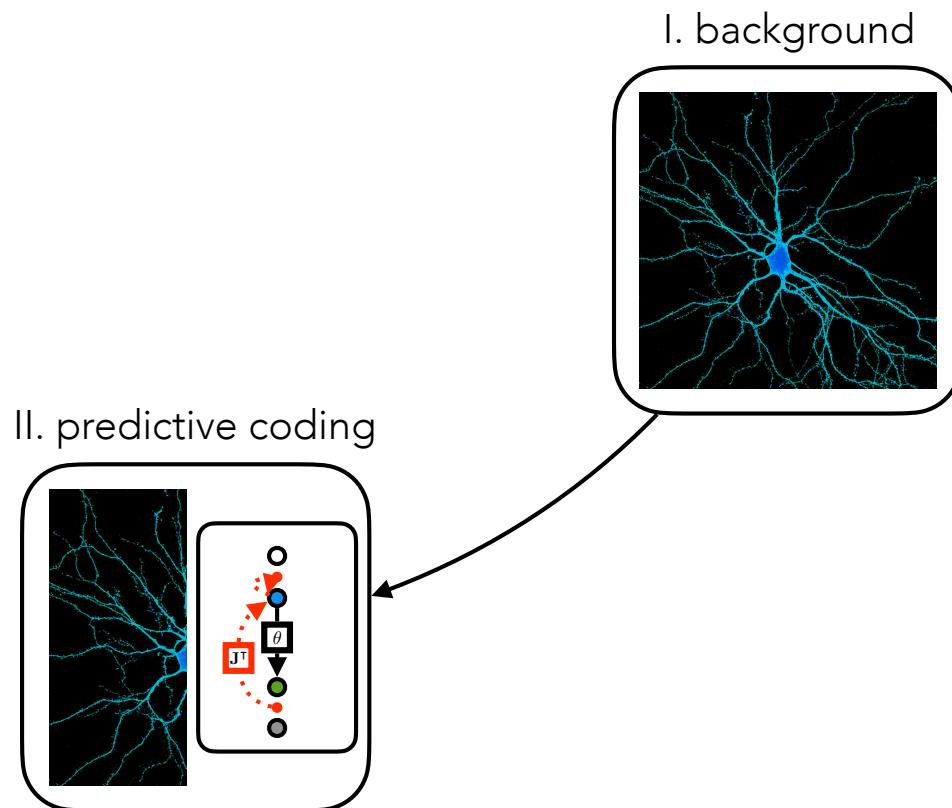
*what is the relationship between
deep learning & neuroscience?*

TALK OUTLINE

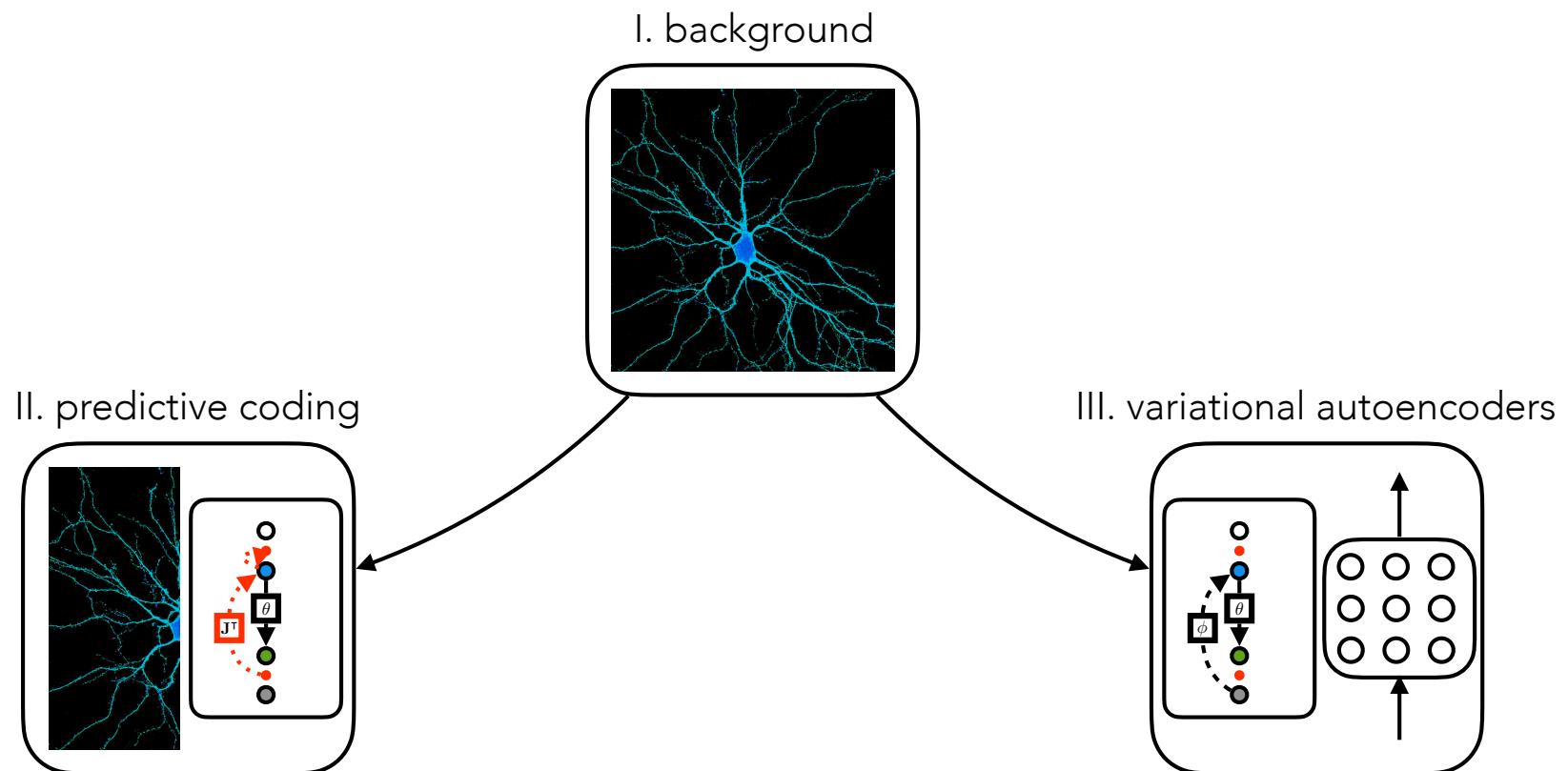
I. background



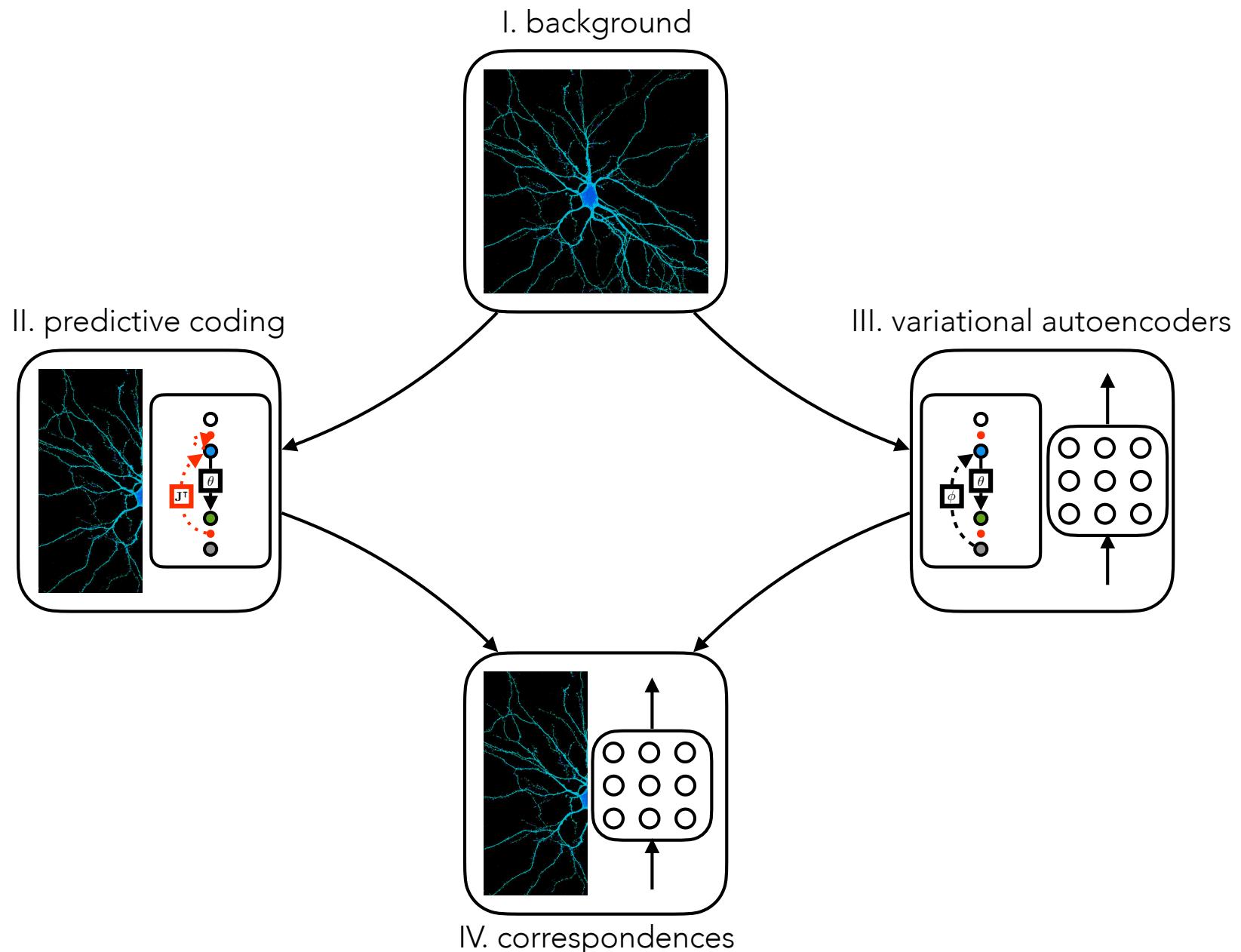
TALK OUTLINE



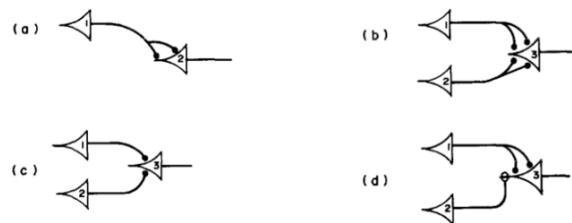
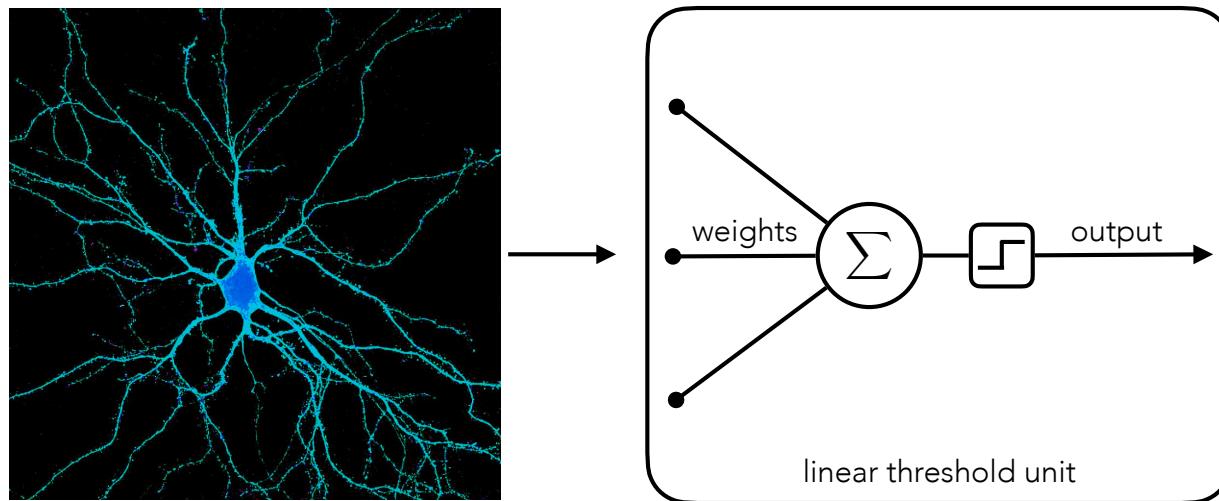
TALK OUTLINE



TALK OUTLINE



ARTIFICIAL NEURAL NETWORKS



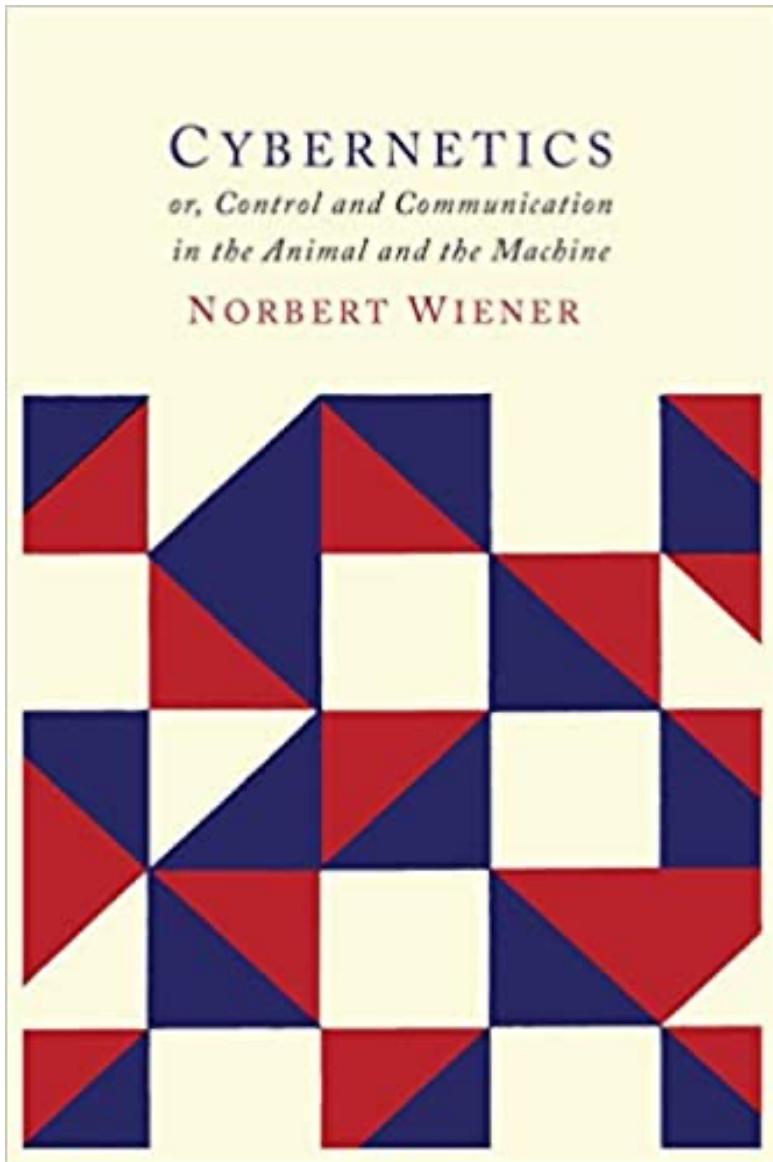
Logical Calculus for Nervous Activity
McCulloch & Pitts, 1943



cybernetics

Walter
Pitts Warren
McCulloch

CYBERNETICS

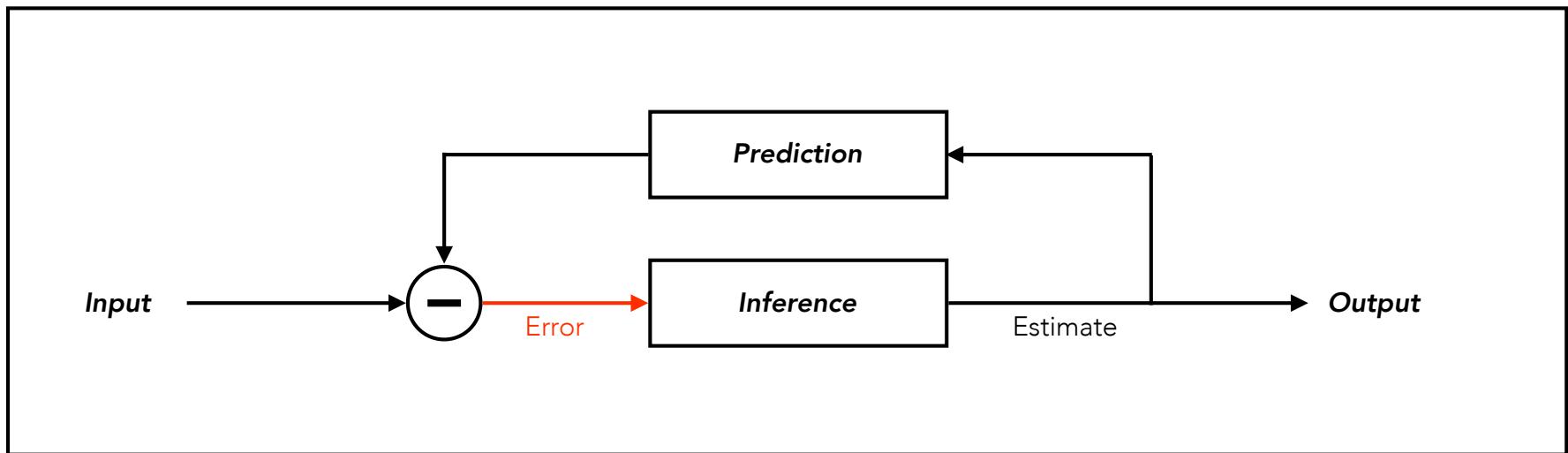


Norbert Wiener

- defined information
- probabilistic models
- variational optimization
- control & perception
- connections to neuroscience

CYBERNETICS

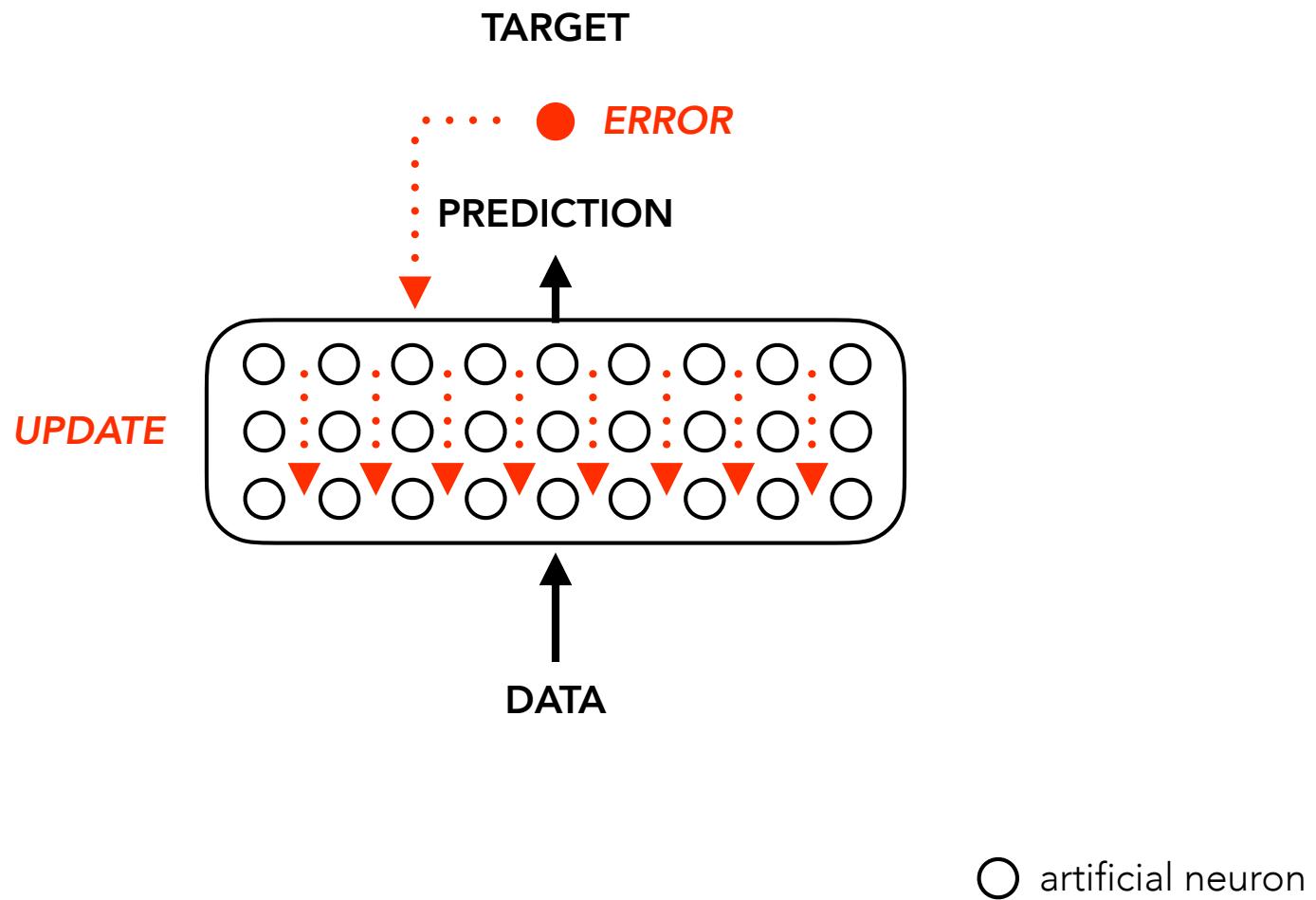
comparator circuit



negative feedback: use errors to correct estimates,
e.g., Kalman filter, linear quadratic regulator, PID

DEEP LEARNING

artificial neural networks + negative feedback

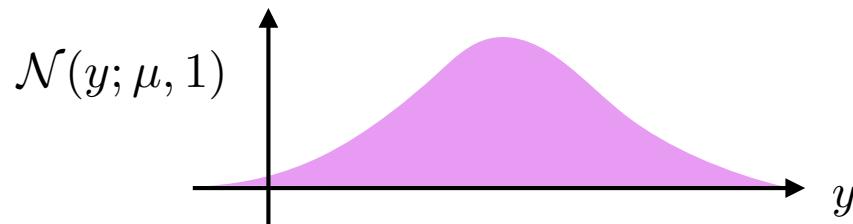


PROBABILISTIC MODELS

why **error**?

gradient of log-probability for exponential family distributions

example: Gaussian density



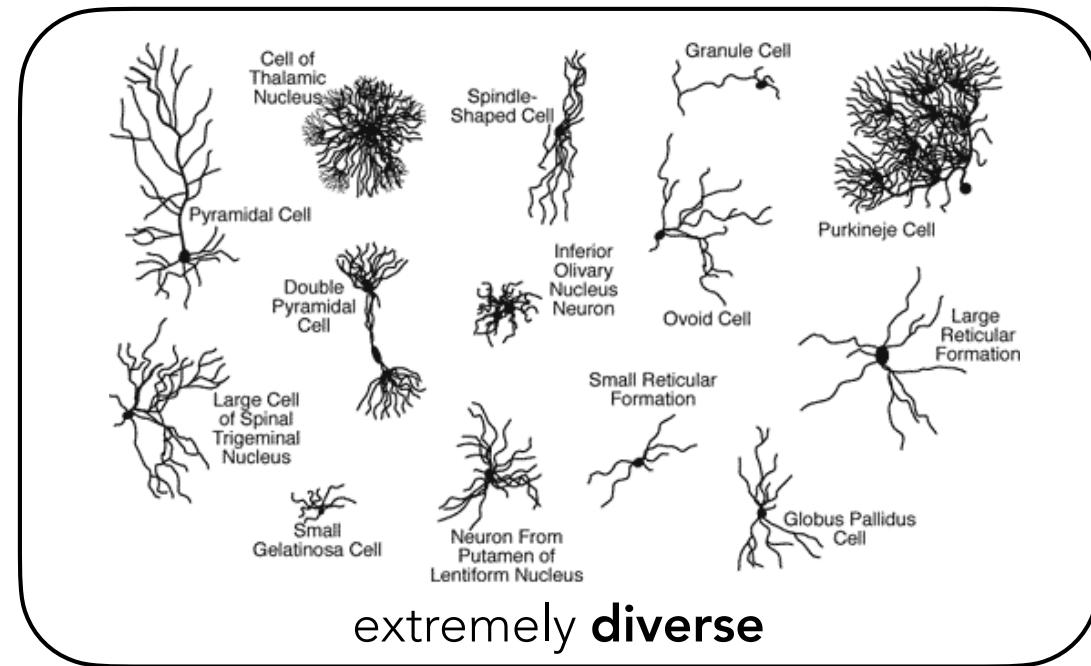
$$\log \mathcal{N}(y; \mu, 1) = \frac{1}{2}(\mu - y)^2 + \text{const.}$$

$$\frac{d}{d\mu} \log \mathcal{N}(y; \mu, 1) = \mu - y$$

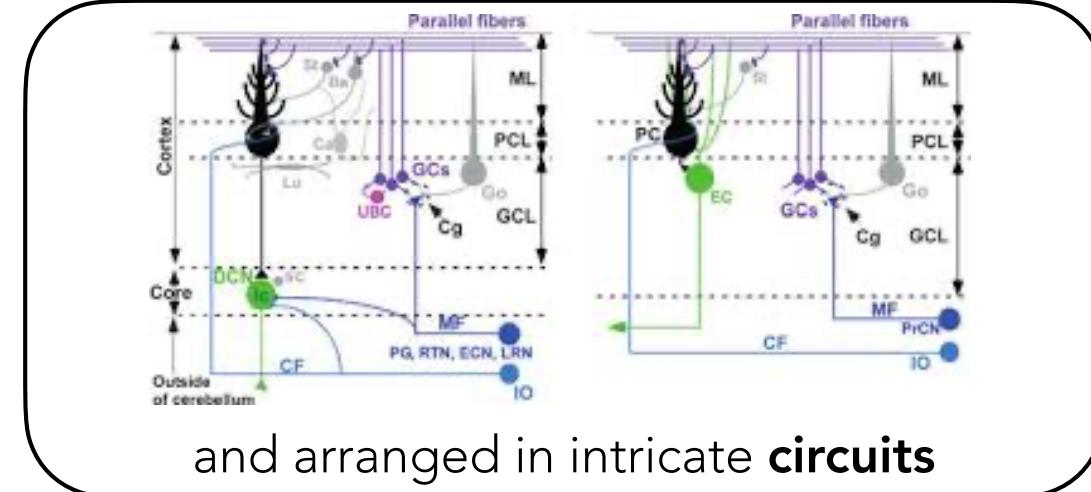
improving predictions requires evaluating and reducing errors

NEUROSCIENCE

neurons are **complex**



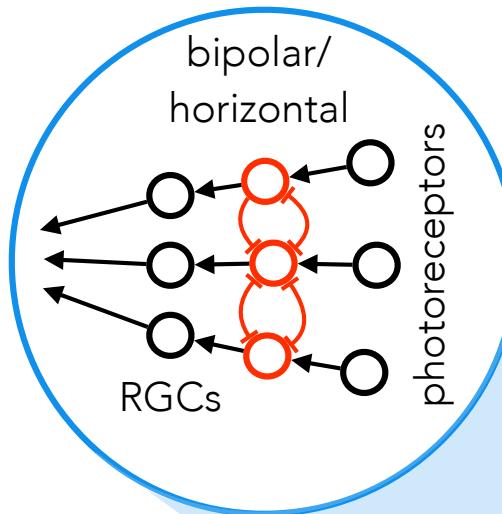
extremely diverse



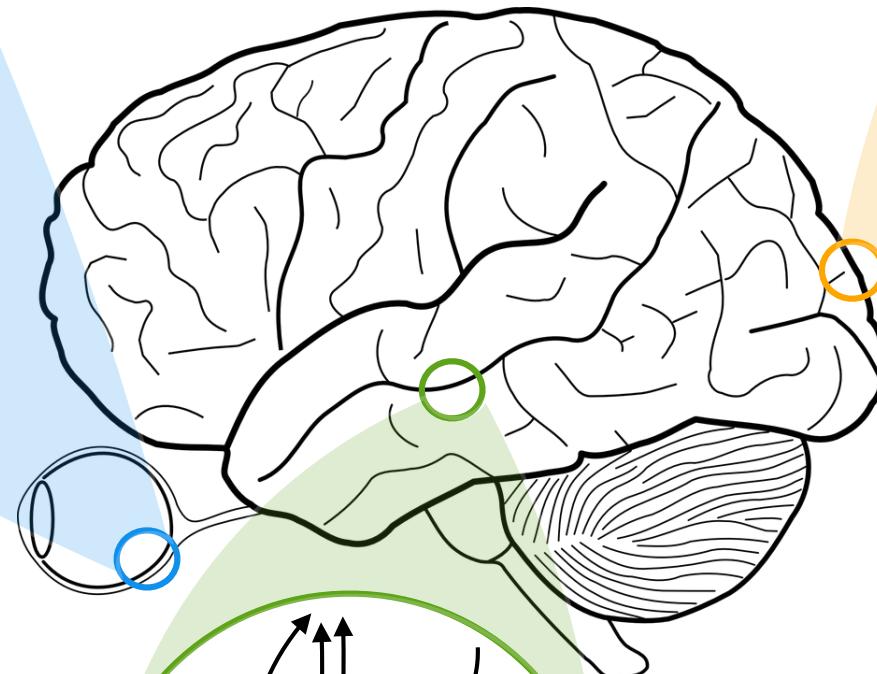
and arranged in intricate **circuits**

VISUAL PATHWAY

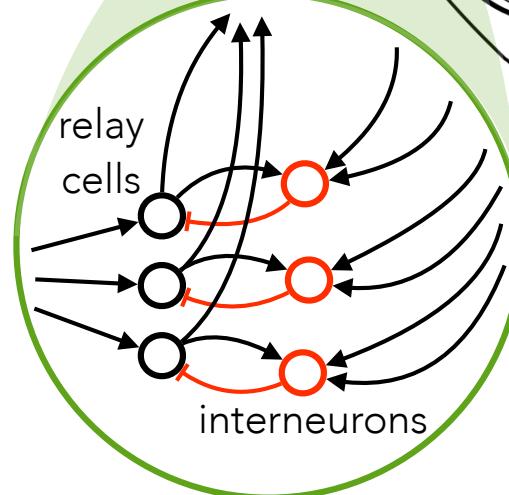
retina



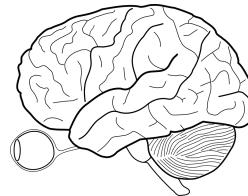
cortex



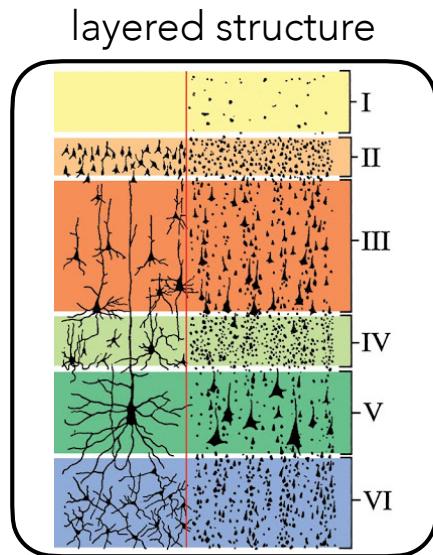
thalamus (LGN)



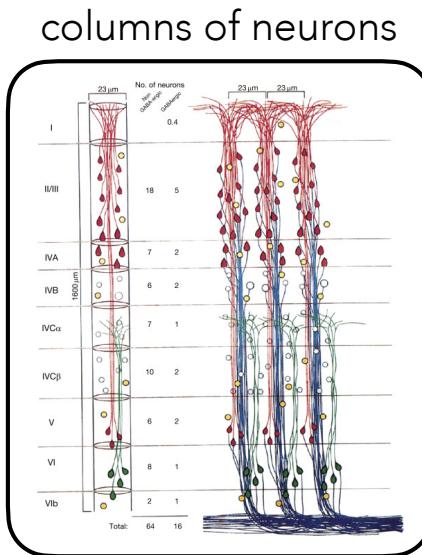
NEOCORTEX



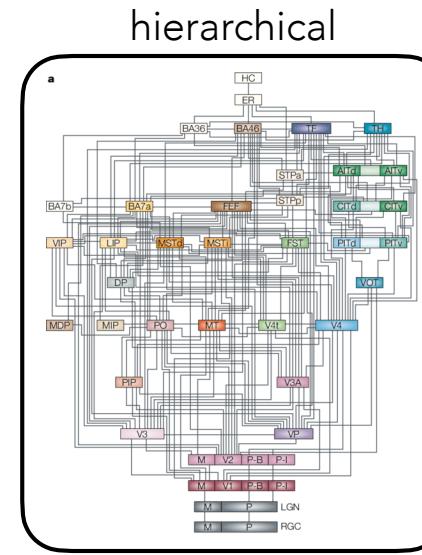
neocortex is involved in all “higher-level” sensorimotor processing



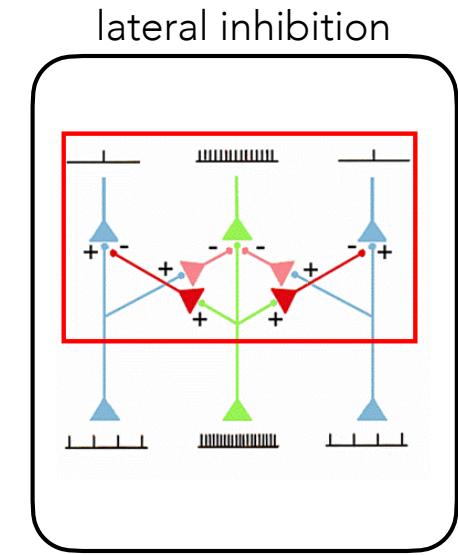
layered structure



columns of neurons



hierarchical



basic circuit motif

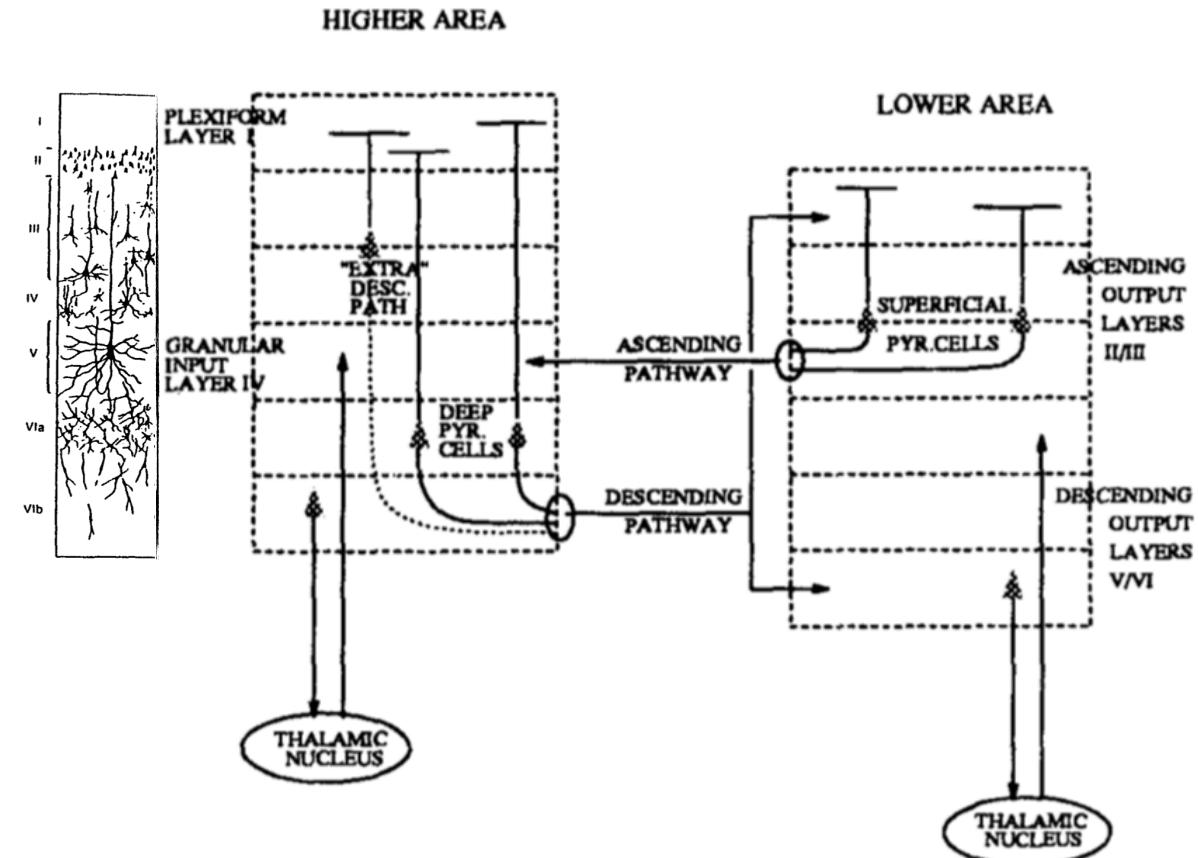
forward/backward

normalization

backward (top-down) connections outnumber forward (bottom-up) connections!

II. PREDICTIVE CODING

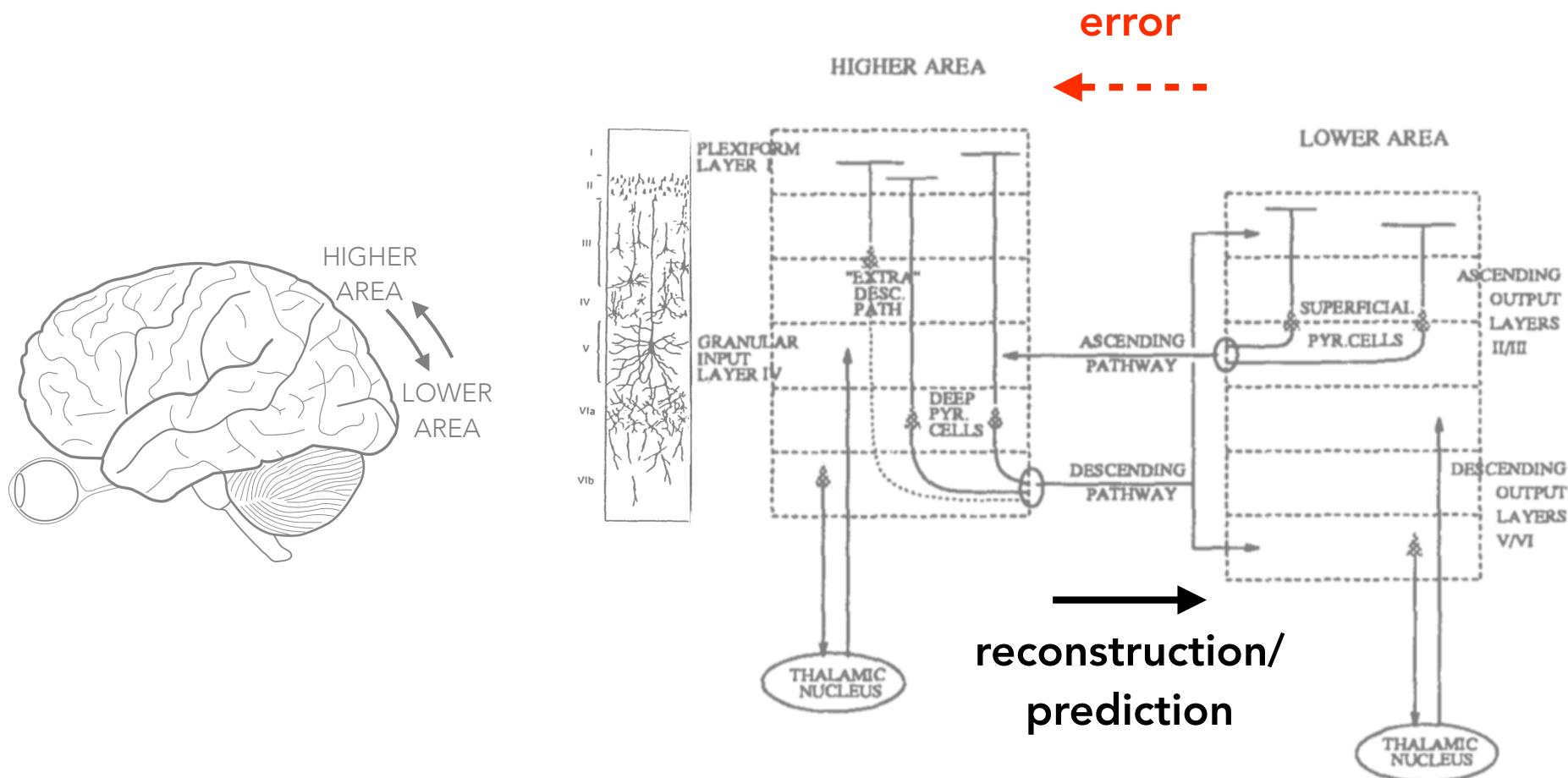
HIERARCHICAL PREDICTIVE CODING



Thalamus “plays the role of an ‘active blackboard’ on which the current best **reconstruction** of some aspect of the world is always displayed.”

Mumford, *Biological Cybernetics* (1991)

HIERARCHICAL PREDICTIVE CODING

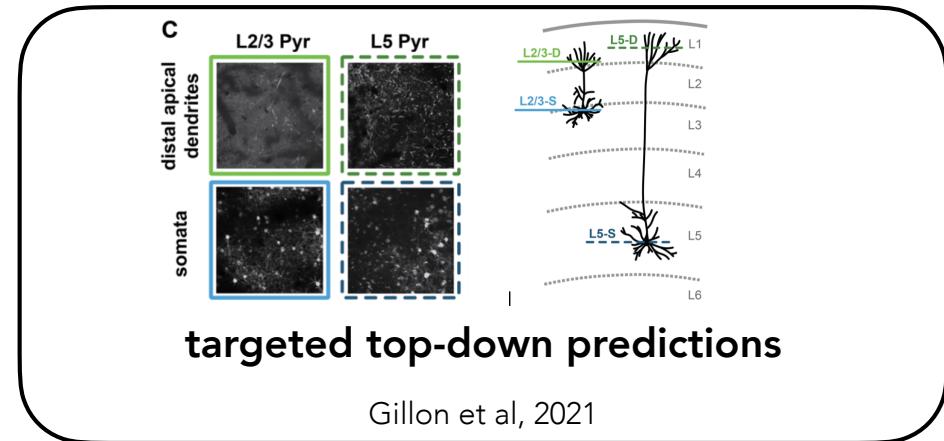
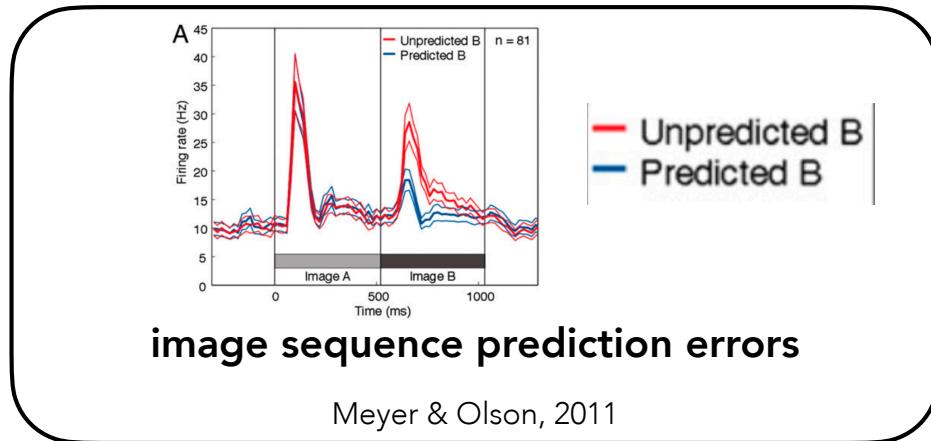
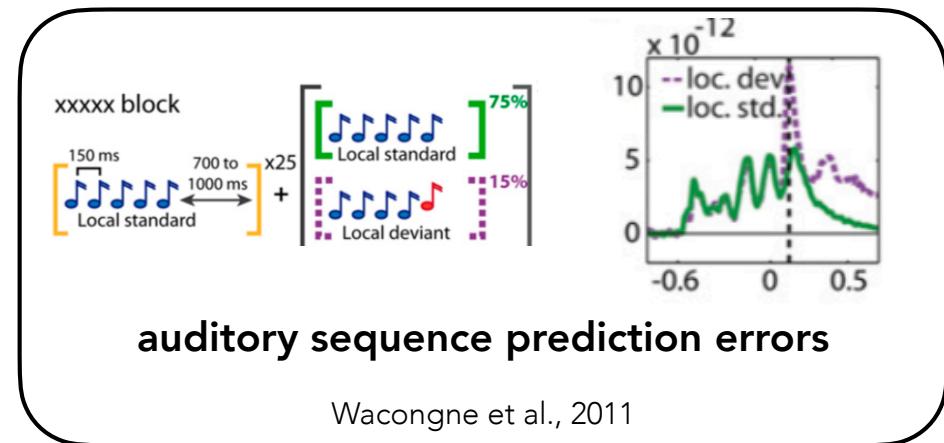
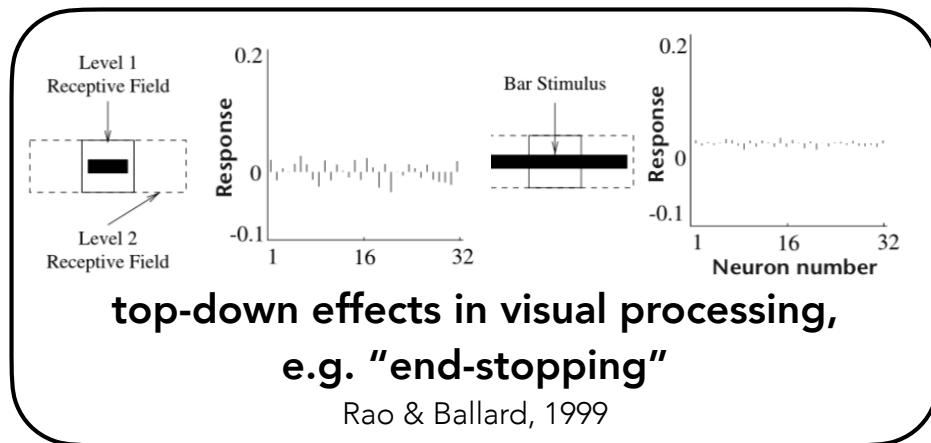


Thalamus “plays the role of an ‘active blackboard’ on which the current best reconstruction of some aspect of the world is always displayed.”

Mumford, *Biological Cybernetics* (1991)

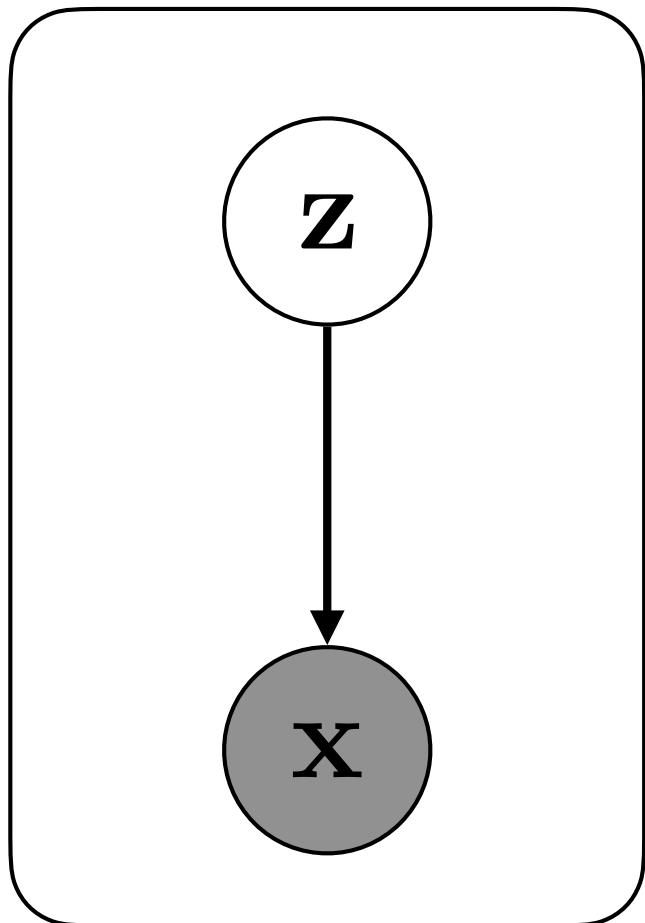
HIERARCHICAL PREDICTIVE CODING

some evidence in support of predictive coding



HIERARCHICAL PREDICTIVE CODING

we can formalize this process as *probabilistic modeling & inference*

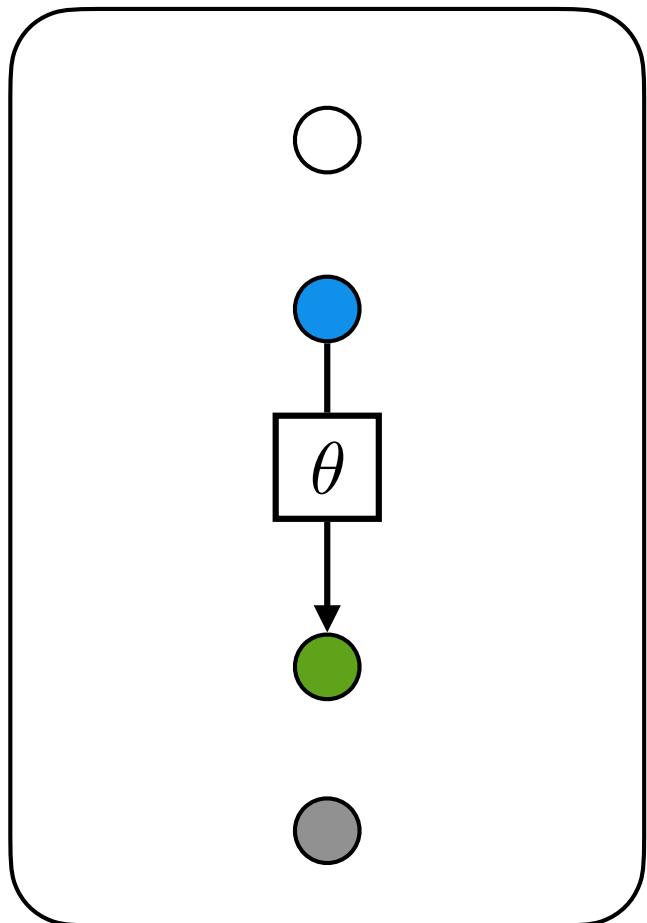


$$p_{\theta}(\mathbf{x}, \mathbf{z}) = p_{\theta}(\mathbf{x}|\mathbf{z})p_{\theta}(\mathbf{z})$$

latent variable model

HIERARCHICAL PREDICTIVE CODING

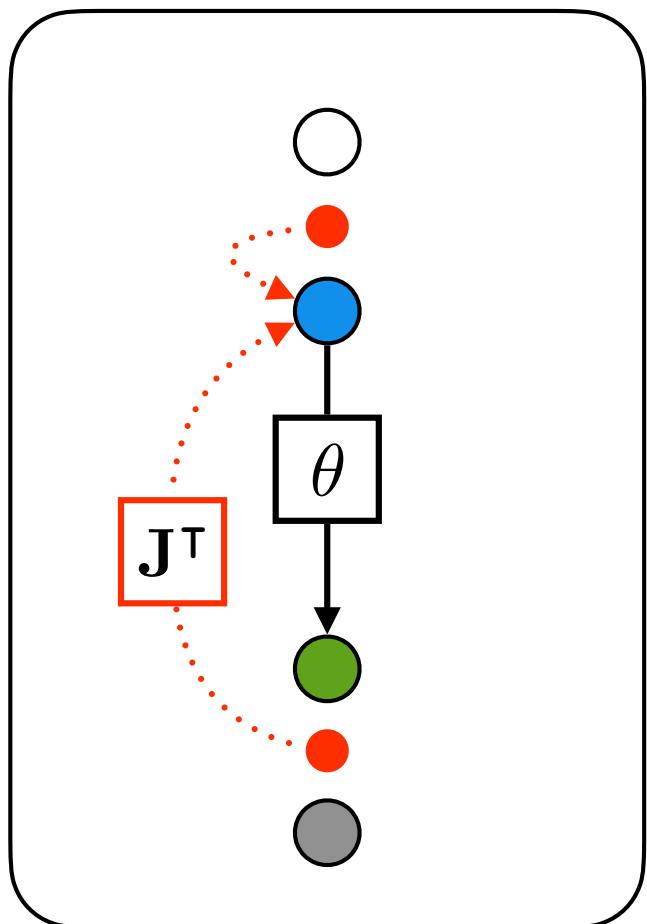
we can formalize this process as *probabilistic modeling & inference*



- $p_\theta(\mathbf{z}) = \mathcal{N}(\mathbf{z}; \boldsymbol{\mu}_\mathbf{z}, \text{diag}(\boldsymbol{\sigma}_\mathbf{z}^2))$
- $q(\mathbf{z}|\mathbf{x}) = \delta(\hat{\mathbf{z}})$
- ↓ *prediction/reconstruction*
- $p_\theta(\mathbf{x}|\mathbf{z}) = \mathcal{N}(\mathbf{x}; \boldsymbol{\mu}_\mathbf{x}(\mathbf{z}), \text{diag}(\boldsymbol{\sigma}_\mathbf{x}^2))$
- $\mathbf{x} \sim p_{\text{data}}(\mathbf{x})$

HIERARCHICAL PREDICTIVE CODING

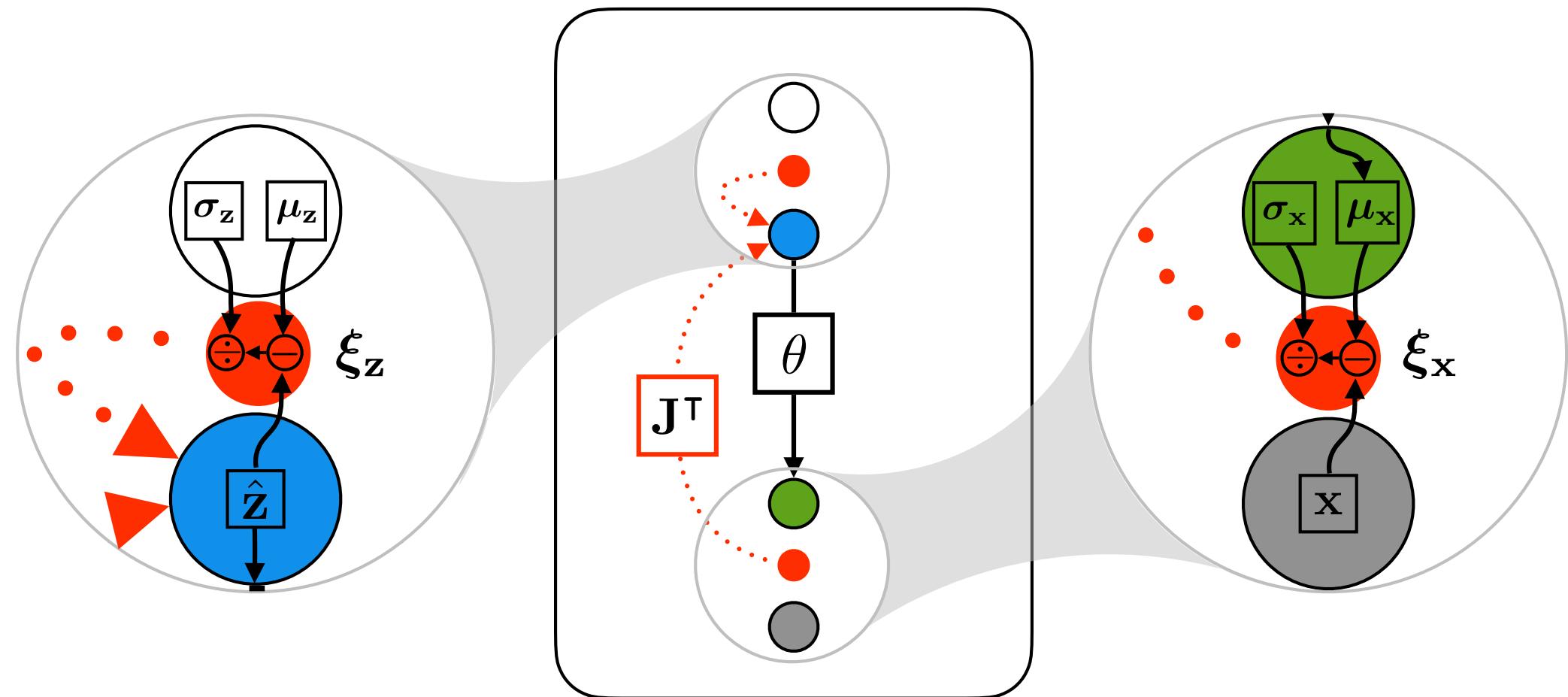
we can formalize this process as *probabilistic modeling & inference*



- $p_\theta(\mathbf{z}) = \mathcal{N}(\mathbf{z}; \boldsymbol{\mu}_\mathbf{z}, \text{diag}(\boldsymbol{\sigma}_\mathbf{z}^2))$
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- $p_\theta(\mathbf{x}|\mathbf{z}) = \mathcal{N}(\mathbf{x}; \boldsymbol{\mu}_\mathbf{x}(\mathbf{z}), \text{diag}(\boldsymbol{\sigma}_\mathbf{x}^2))$
- $\mathbf{x} \sim p_{\text{data}}(\mathbf{x})$

inference: maximize a (variational) objective \mathcal{L} w.r.t. ●

HIERARCHICAL PREDICTIVE CODING



inference involves a sum of (prediction) errors

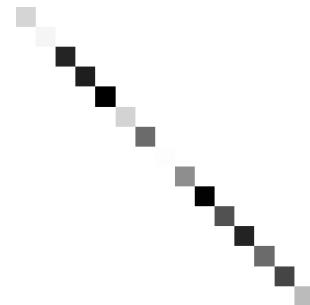
$$\nabla_{\hat{z}} \mathcal{L} = J^T \xi_x - \xi_z$$

SPATIOTEMPORAL PREDICTIVE CODING

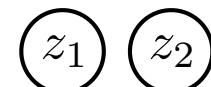
lateral inhibition implements a covariance matrix/normalization

independent dimensions: $\mathcal{N}(\mathbf{z}; \boldsymbol{\mu}, \text{diag}(\boldsymbol{\sigma}^2))$

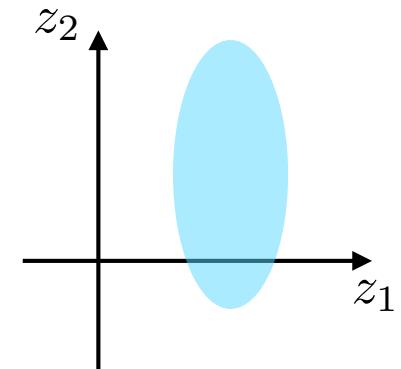
$$\text{diag}(\boldsymbol{\sigma}^2) =$$



in 2D:

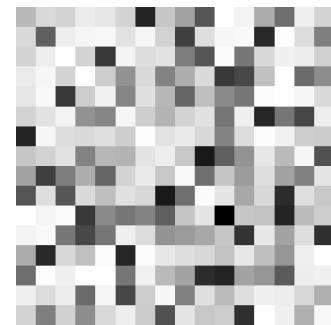


independent sampling



linearly-dependent dimensions: $\mathcal{N}(\mathbf{z}; \boldsymbol{\mu}, \boldsymbol{\Sigma})$

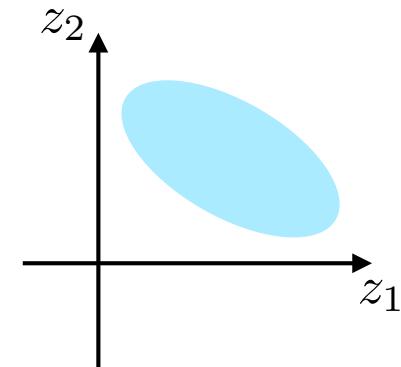
$$\boldsymbol{\Sigma} =$$



in 2D:

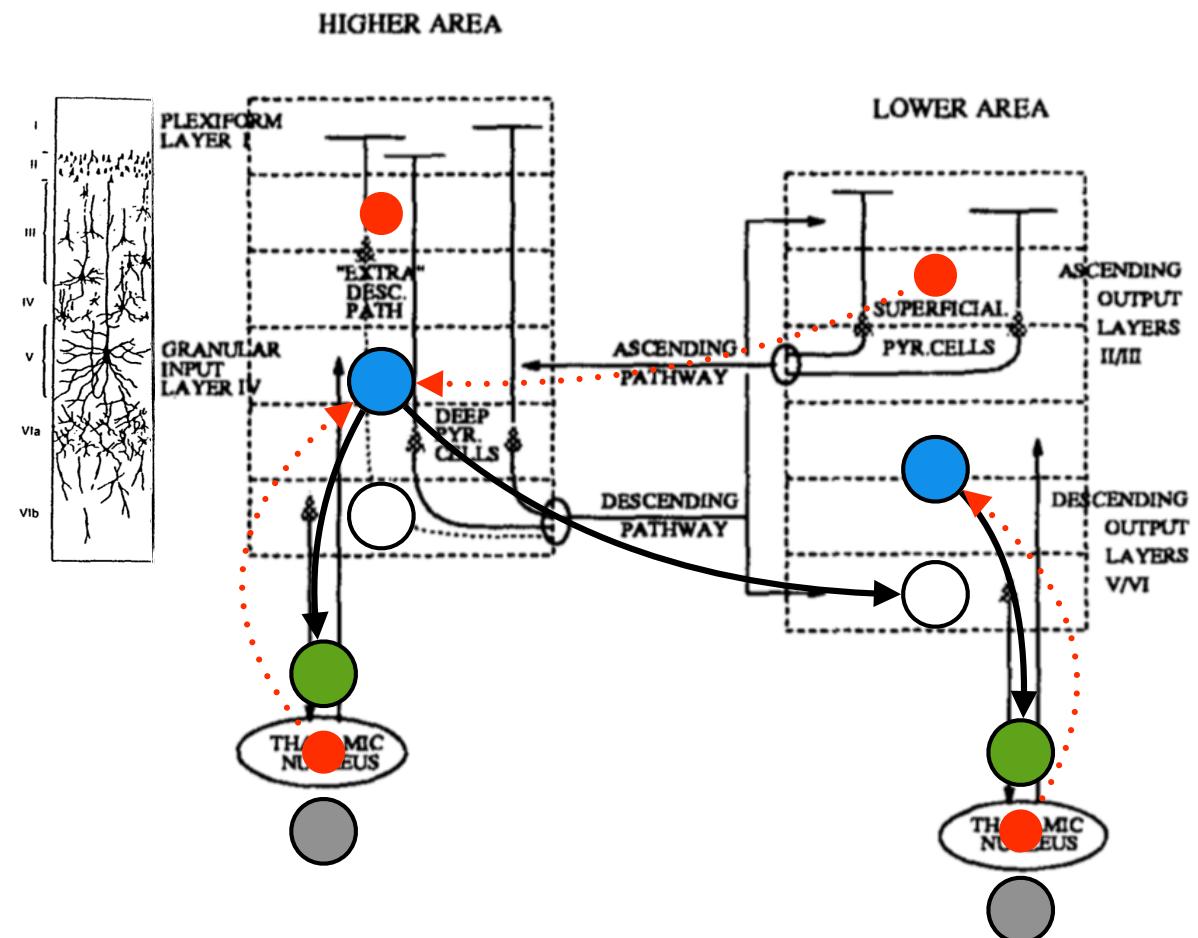
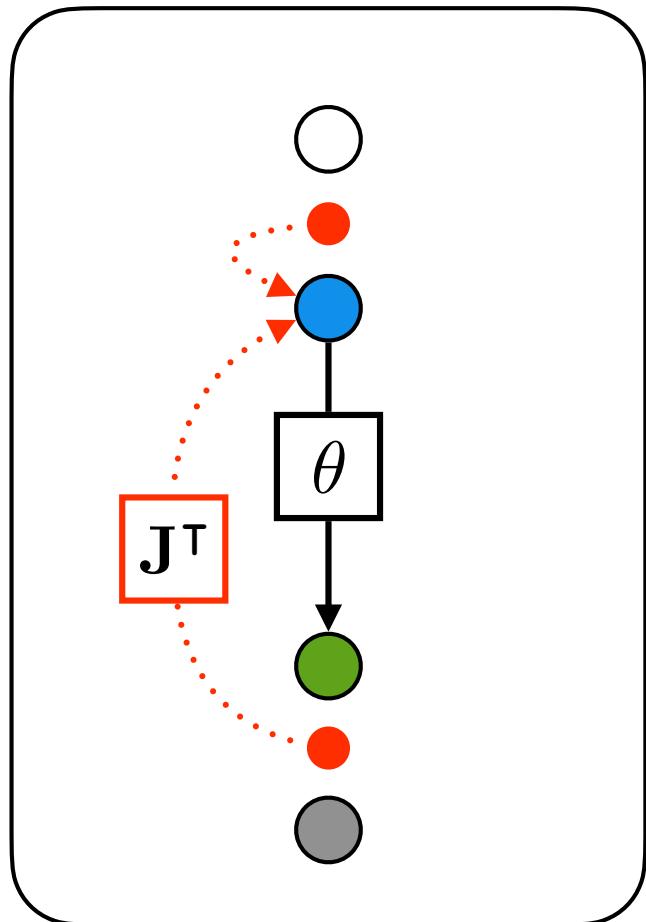


linearly-dependent sampling



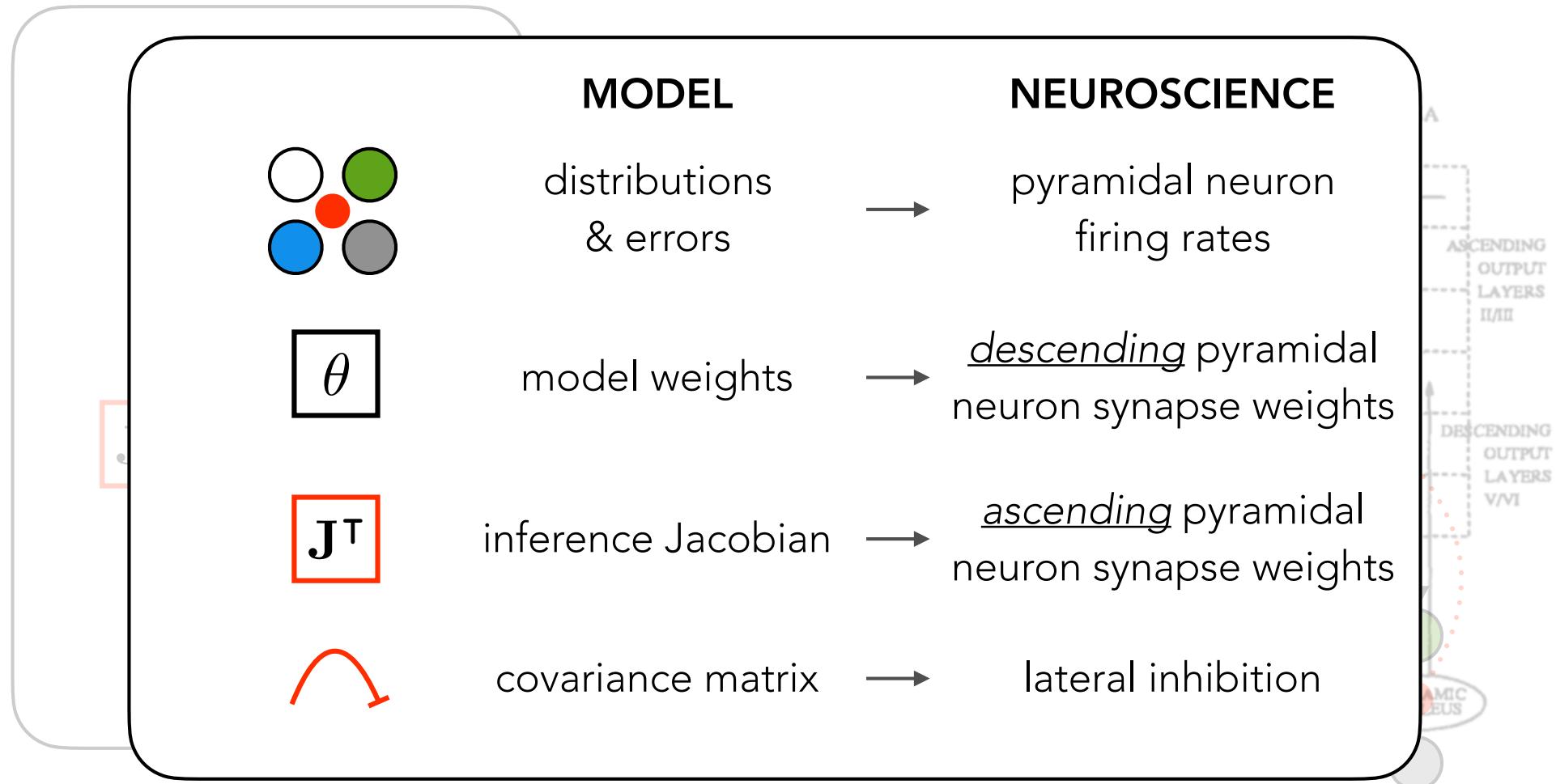
PREDICTIVE CODING

(proposed) biological correspondences



PREDICTIVE CODING

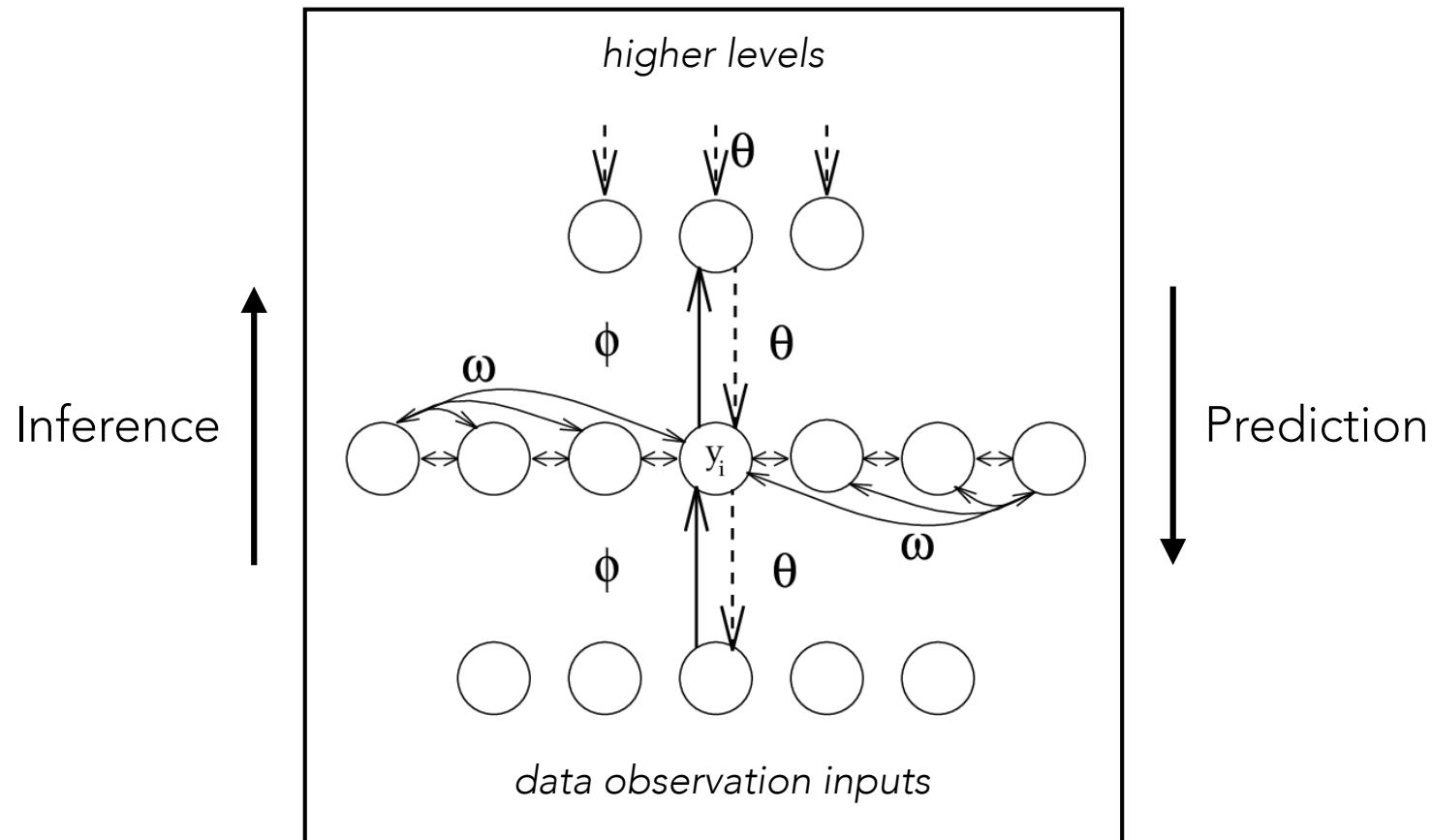
(proposed) biological correspondences



III. VARIATIONAL AUTOENCODERS

DEEP LATENT VARIABLE MODELS

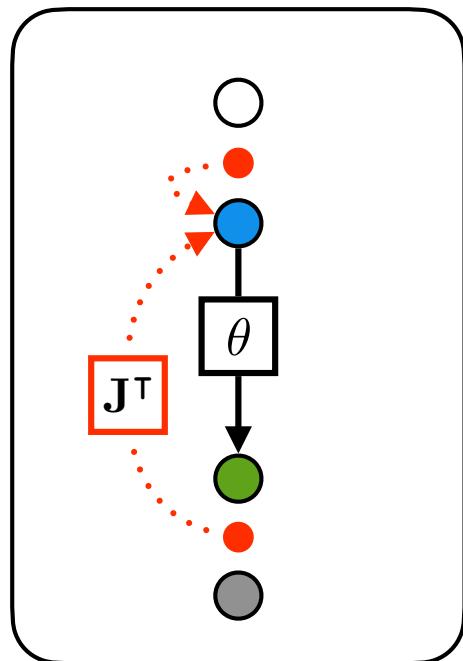
The Helmholtz Machine: learn a separate inference model



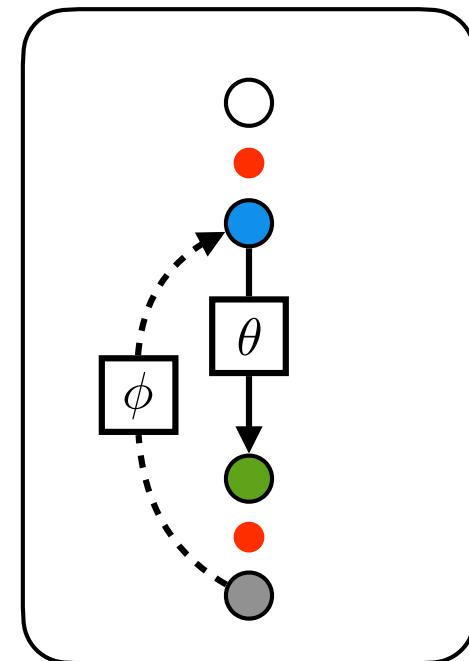
AMORTIZED INFERENCE

amortized inference:

spread out inference costs by learning a separate model (learning to infer)



gradient-based inference



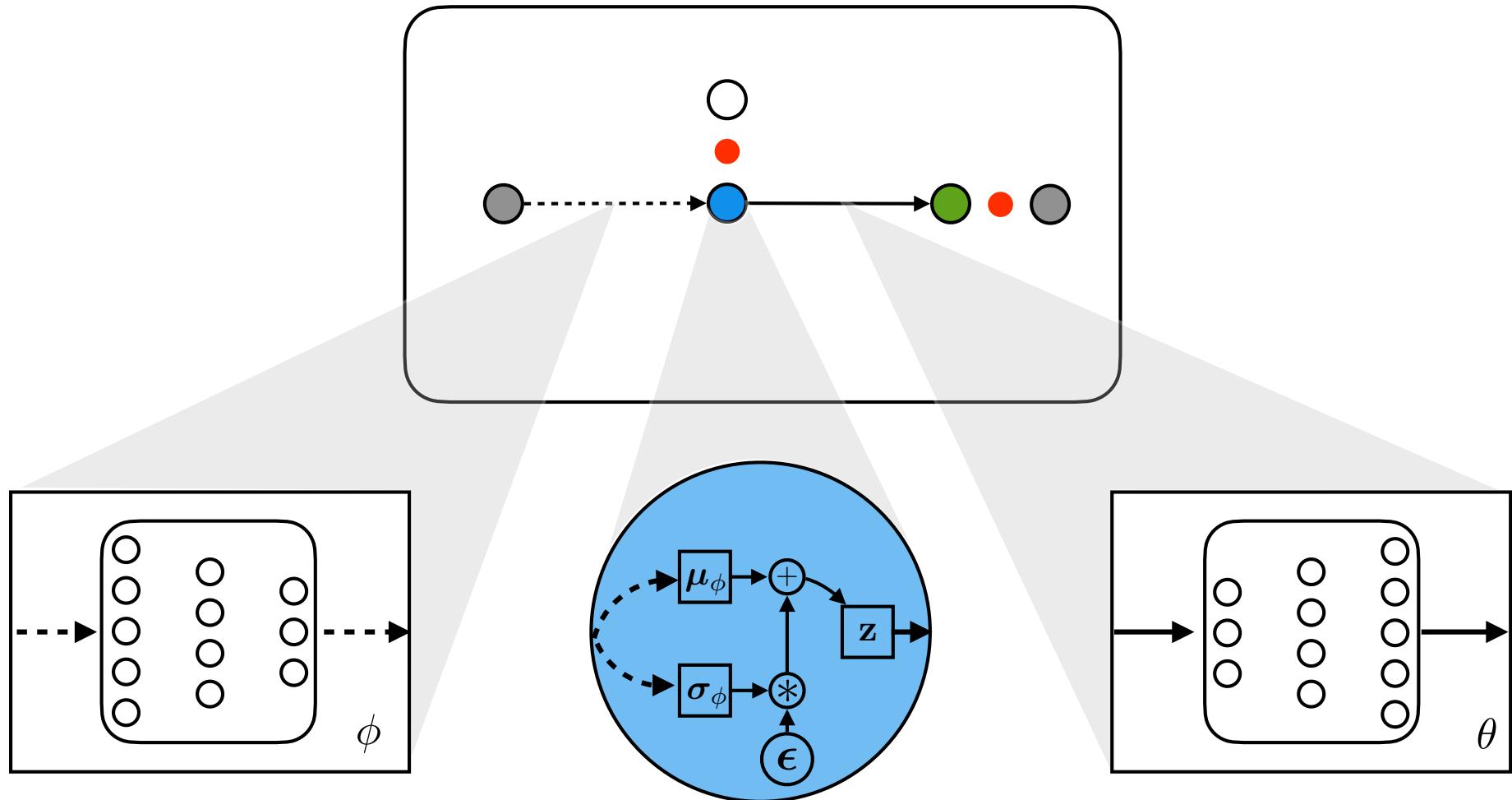
amortized inference

substantially more efficient!

VARIATIONAL AUTOENCODERS

Variational Autoencoder (VAE):

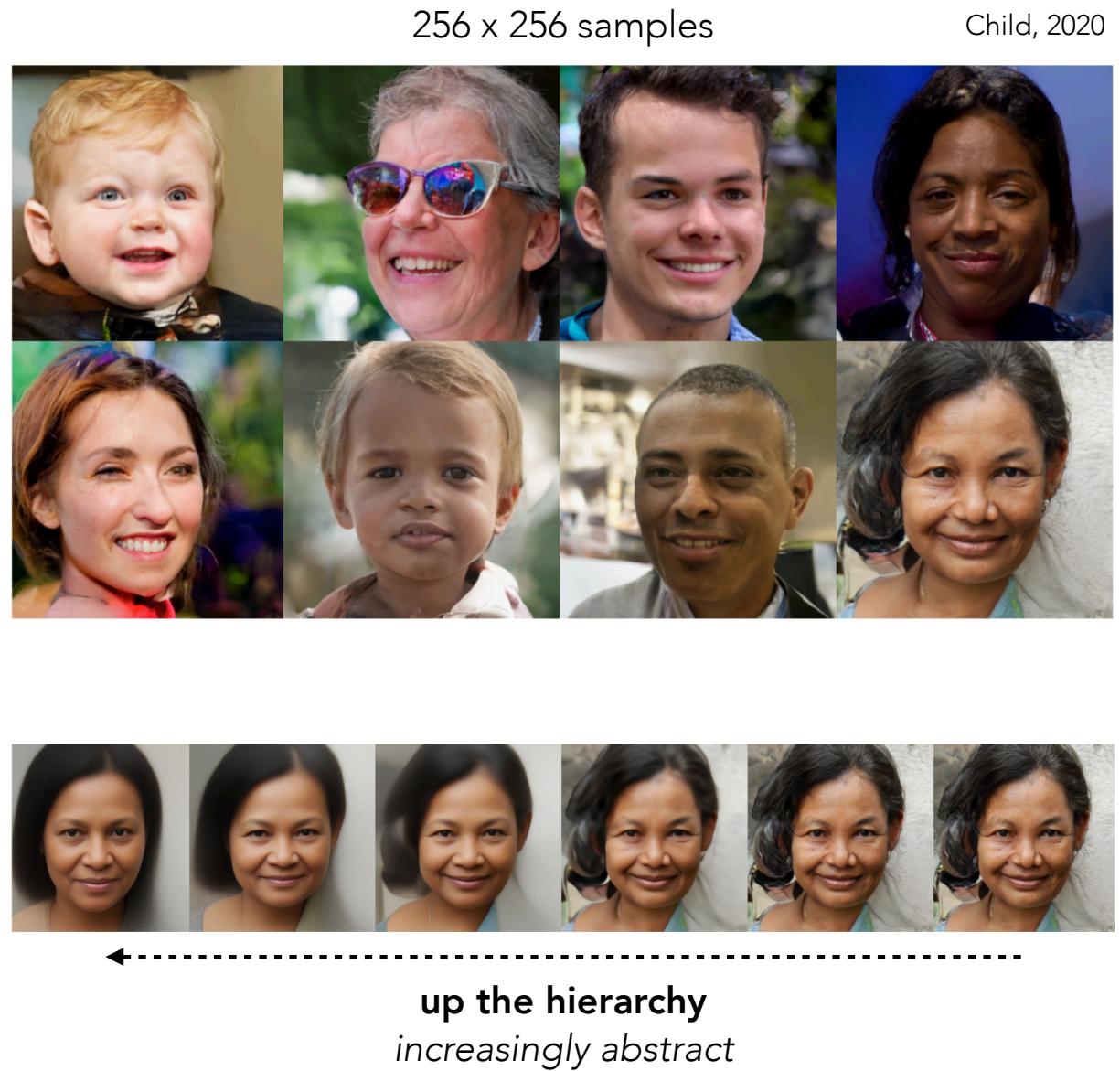
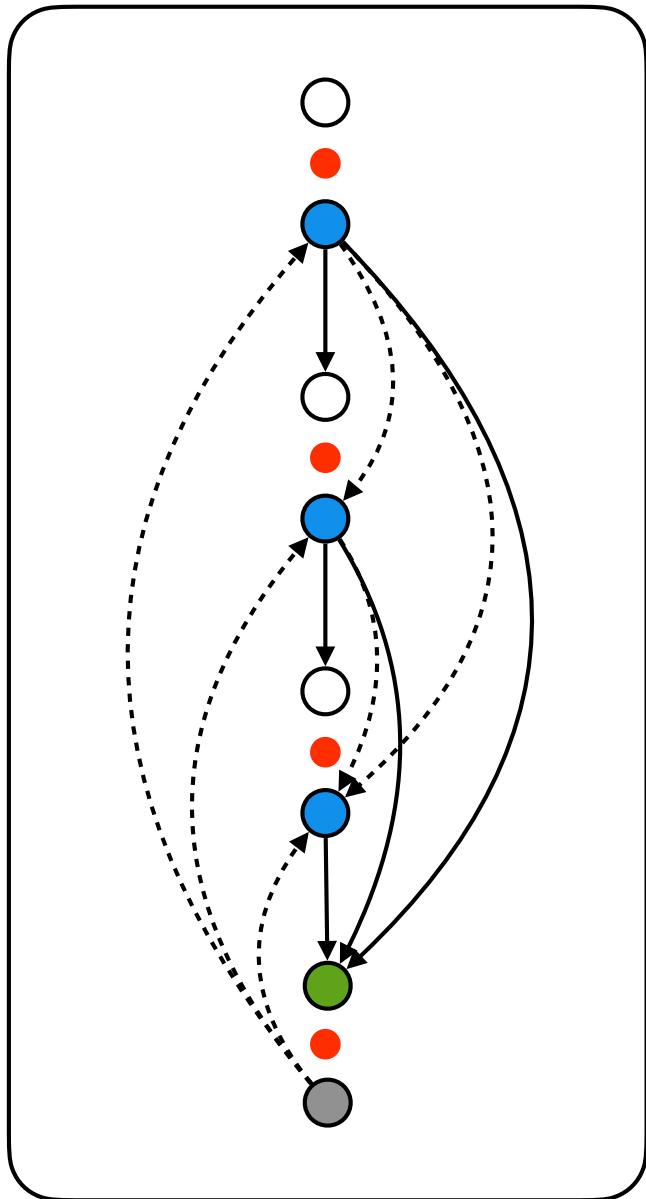
deep latent variable model + variational inference + direct encoder + reparameterized Gaussian



Kingma & Welling, 2014

Rezende et al., 2014

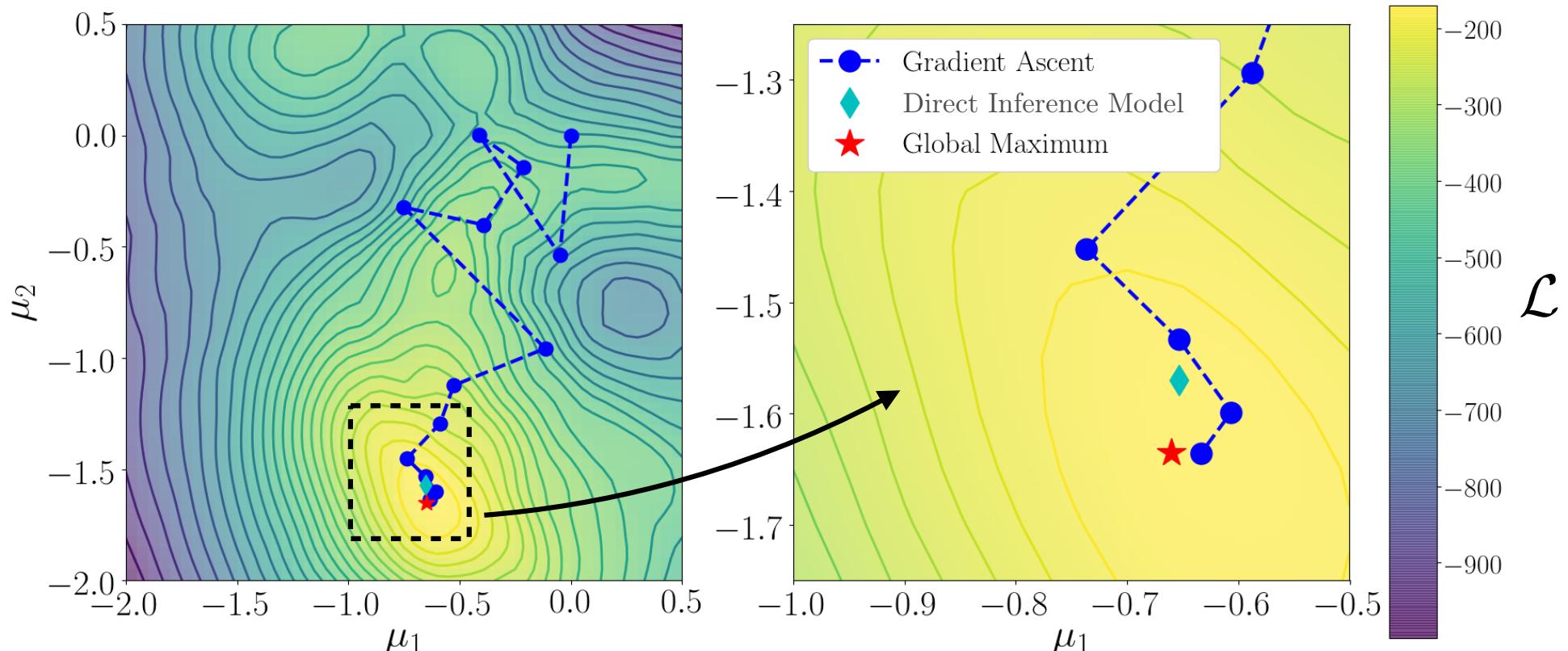
HIERARCHICAL VAES



INFERENCE SUBOPTIMALITY

direct inference models provide suboptimal estimates

"amortization gap"



see also Cremer et al., 2018

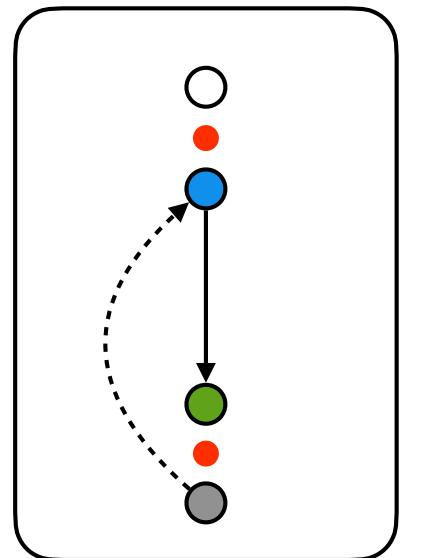
ITERATIVE AMORTIZED INFERENCE

perform inference via a learned *iterative* procedure

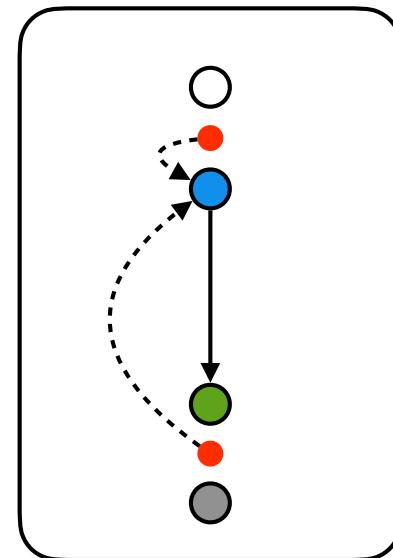
encode inference gradient or errors

$$\nabla_{\hat{z}} \mathcal{L}$$

$$\xi_z \ \xi_x$$



Direct
Amortization

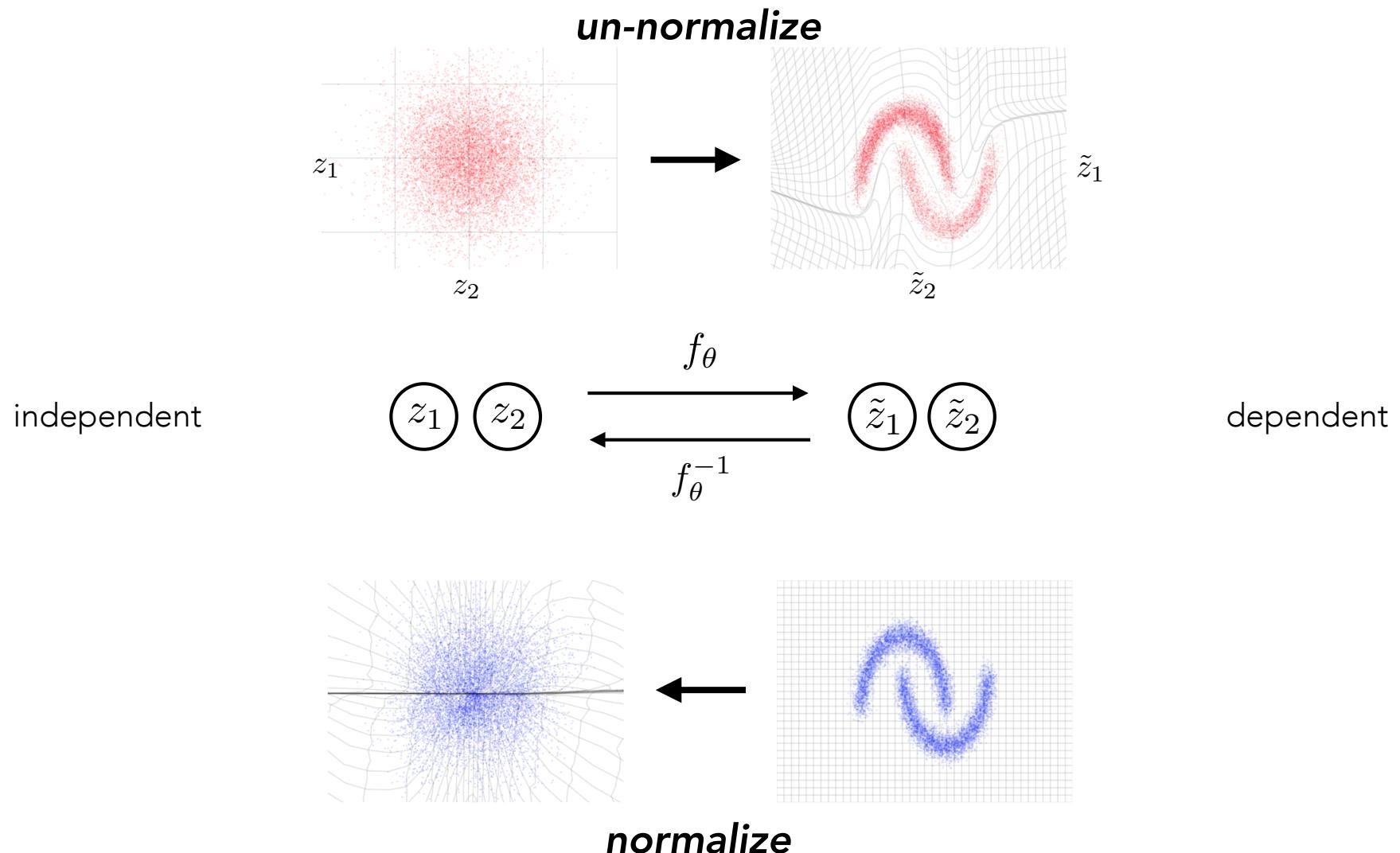


Iterative
Amortization

- + more accurate
- + more general

NORMALIZING FLOWS

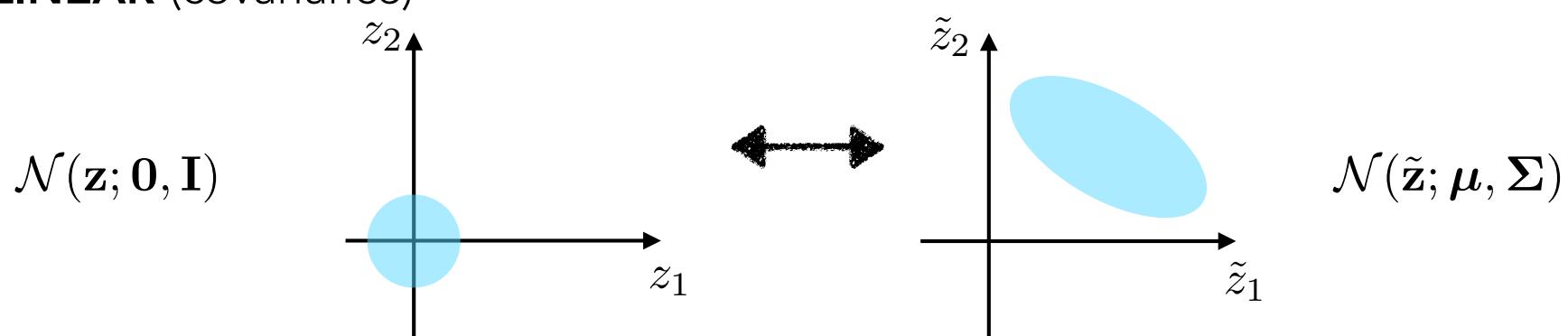
normalizing flows add/remove dependencies



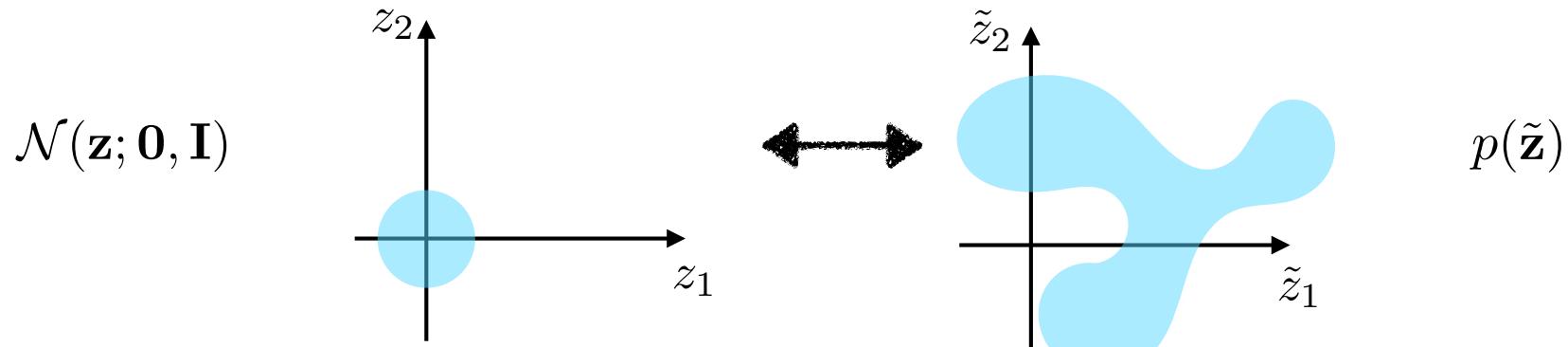
NORMALIZING FLOWS

a covariance matrix is an affine normalizing flow with *linear* dependencies

LINEAR (covariance)

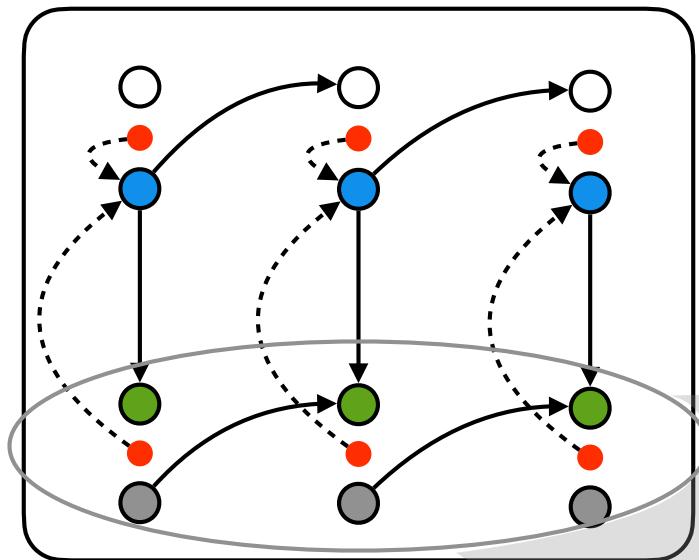


NON-LINEAR (non-linear normalizing flow)



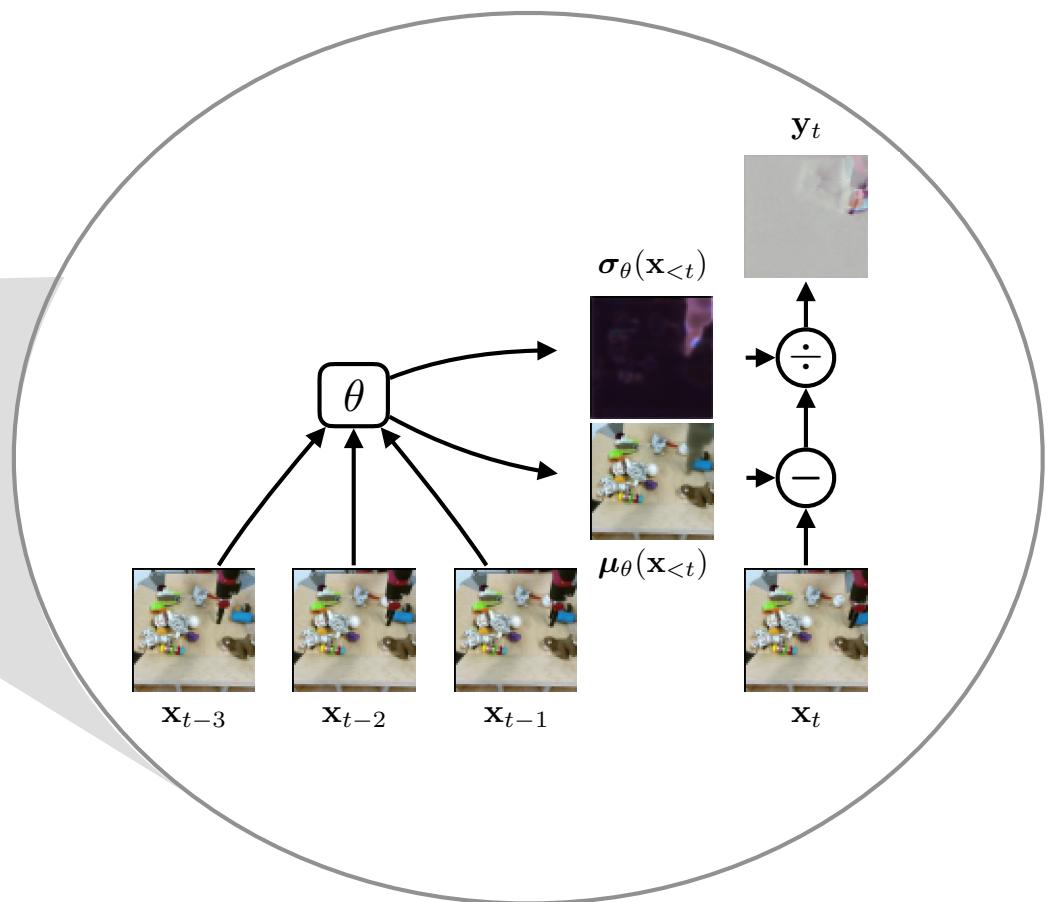
NORMALIZING FLOWS

normalizing flows can be applied to any parametric distribution



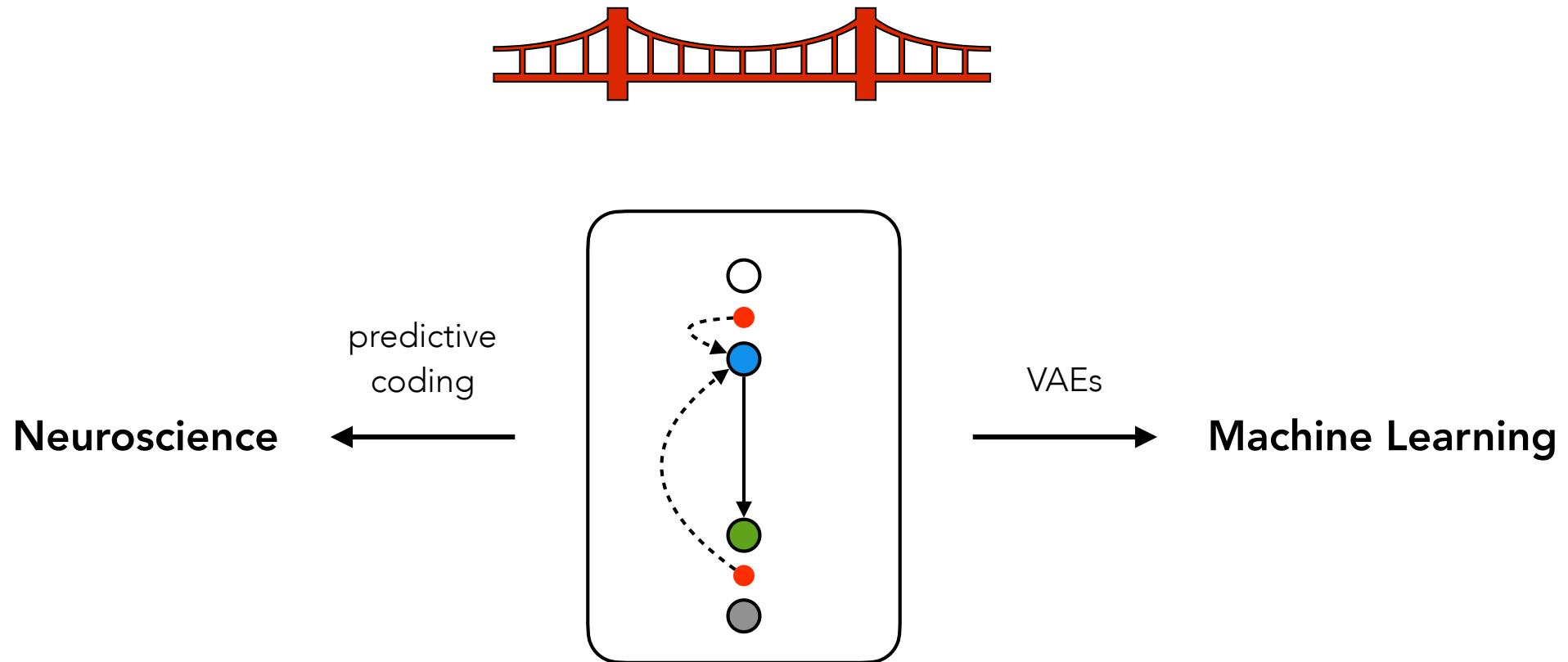
improved performance
& generalization

sequential autoregressive flows
remove dependencies across *time*

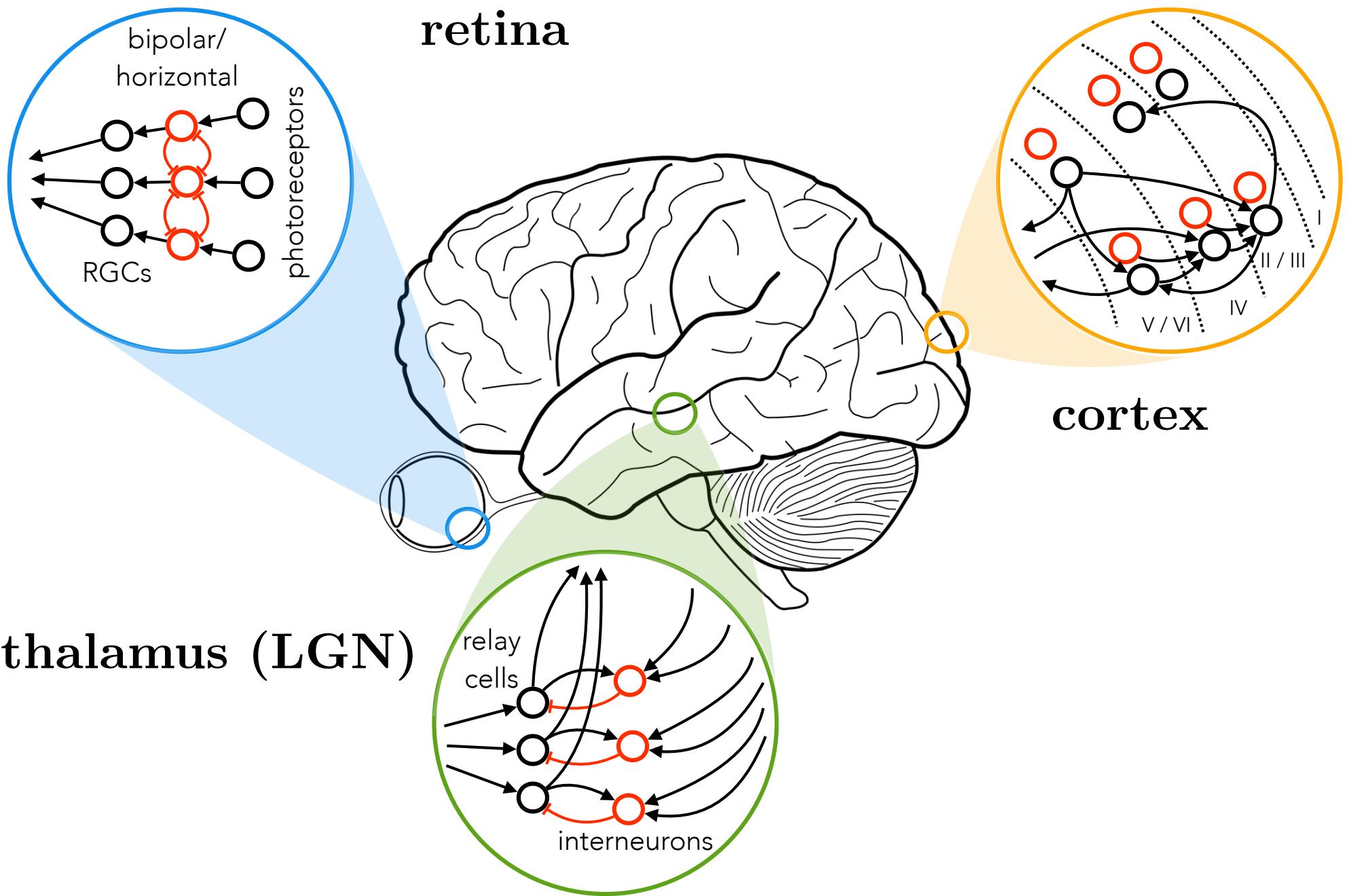


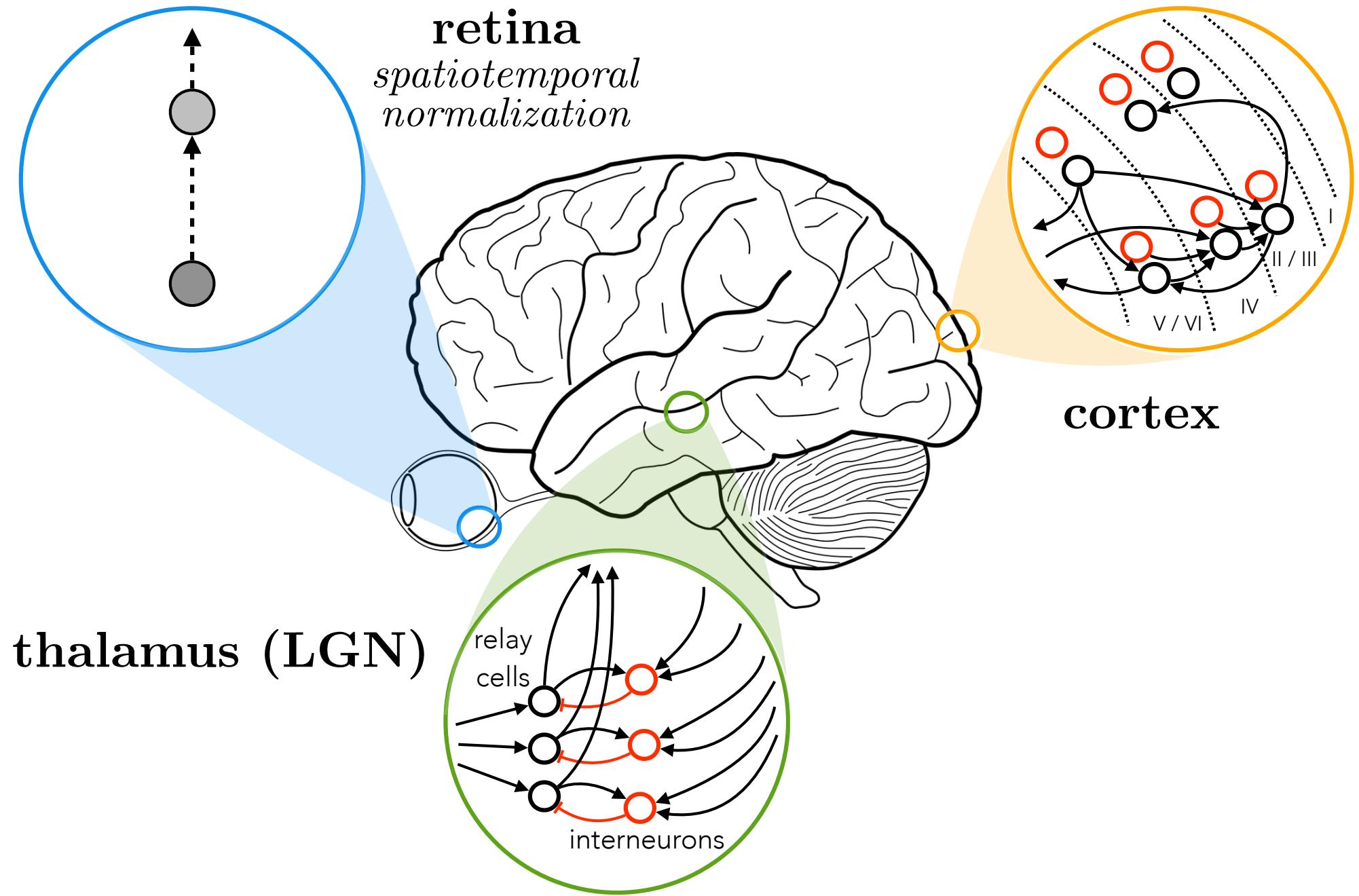
IV. CORRESPONDENCES

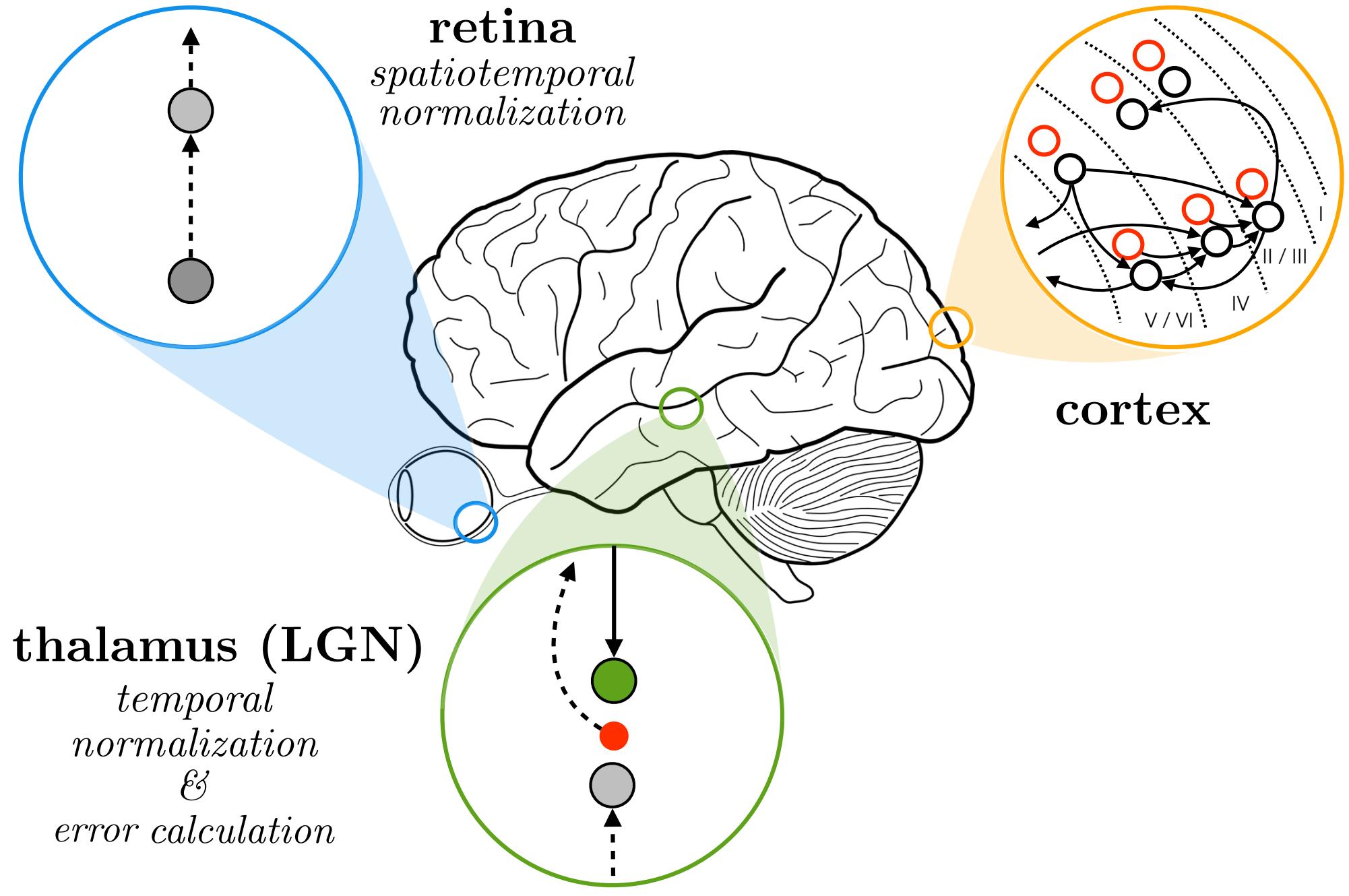
a *bridge* between neuroscience and machine learning

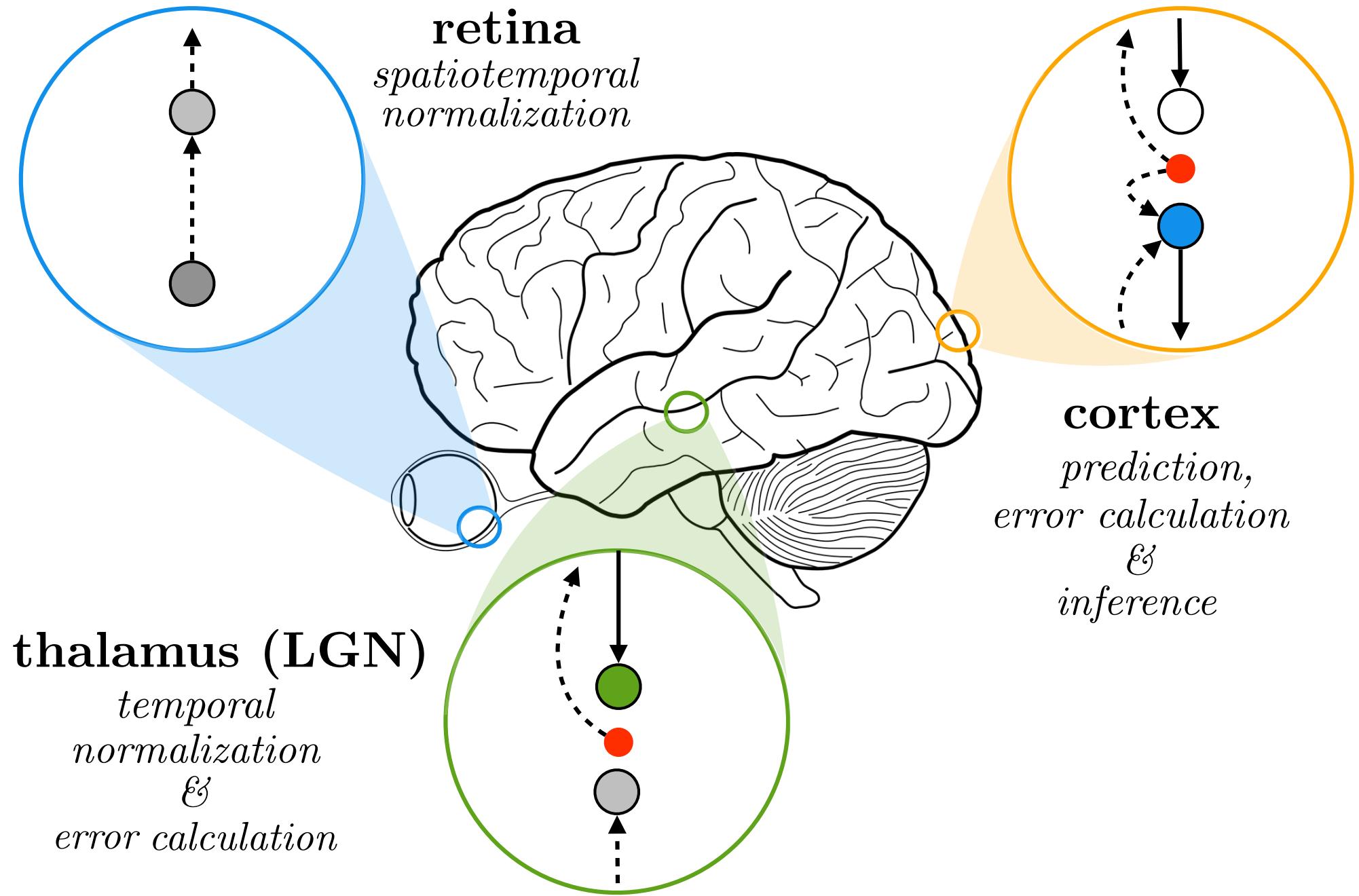


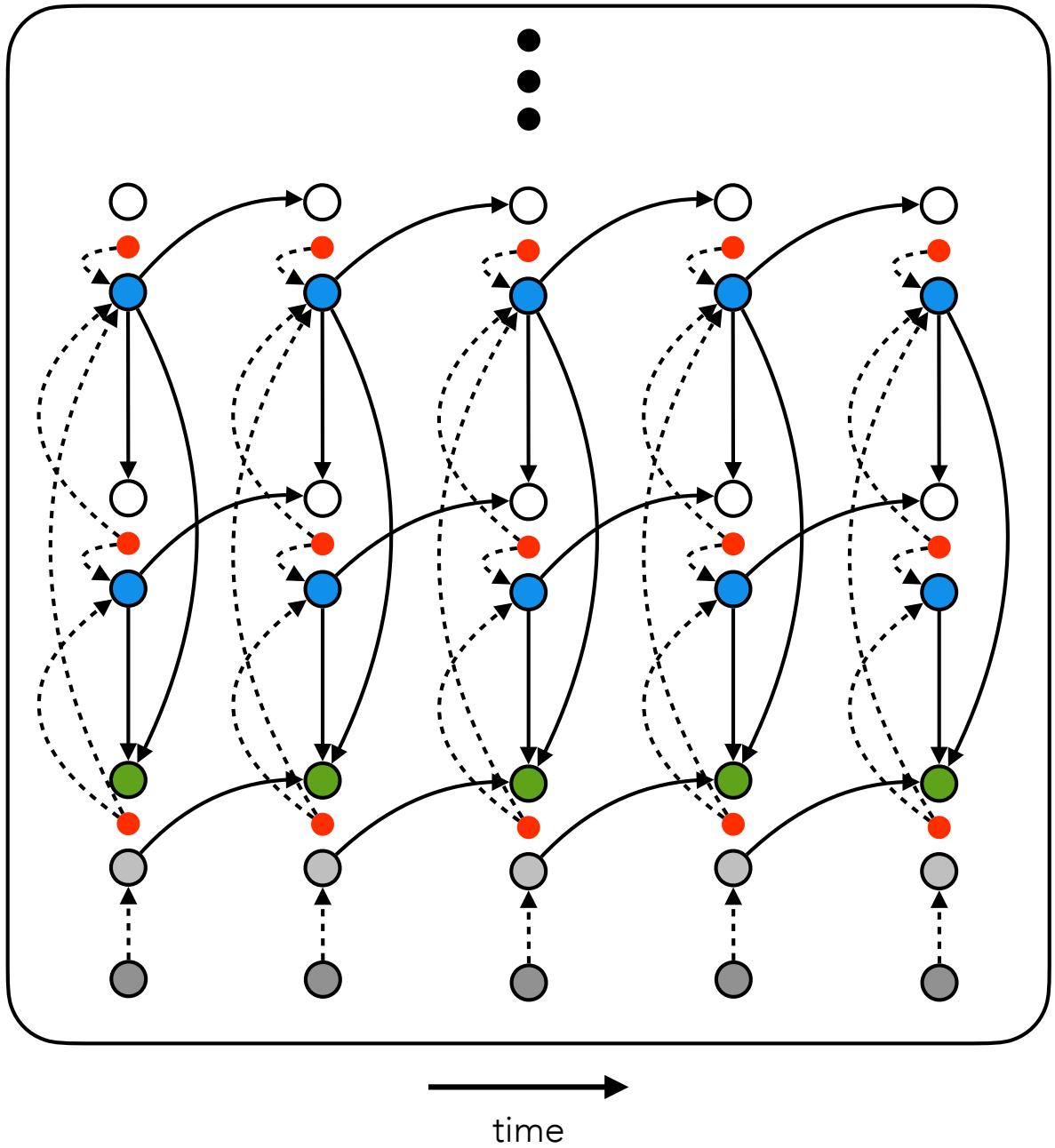
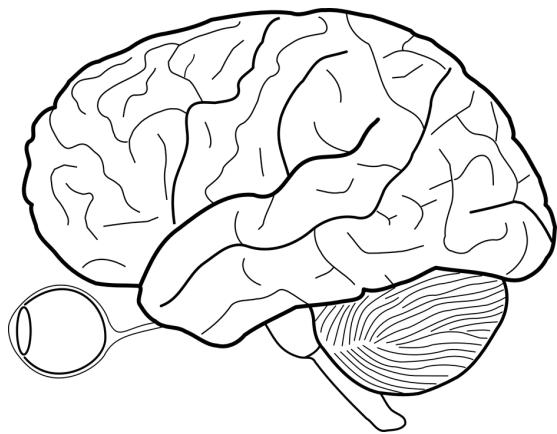
providing new correspondences...

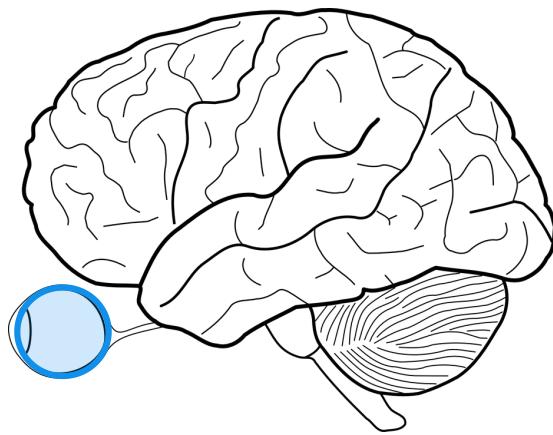




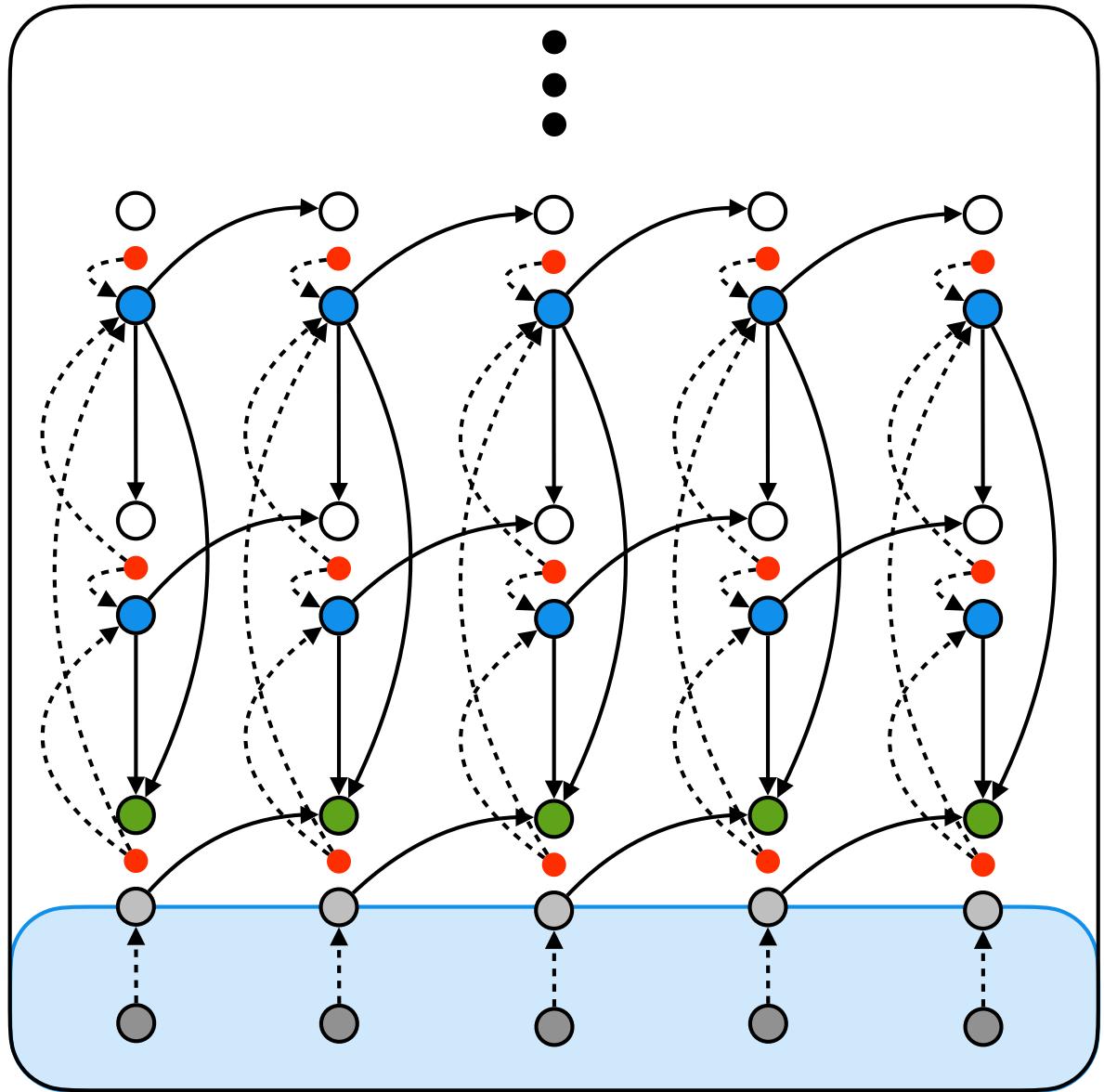




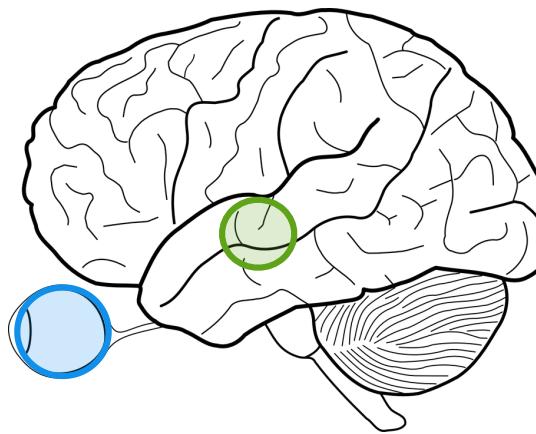




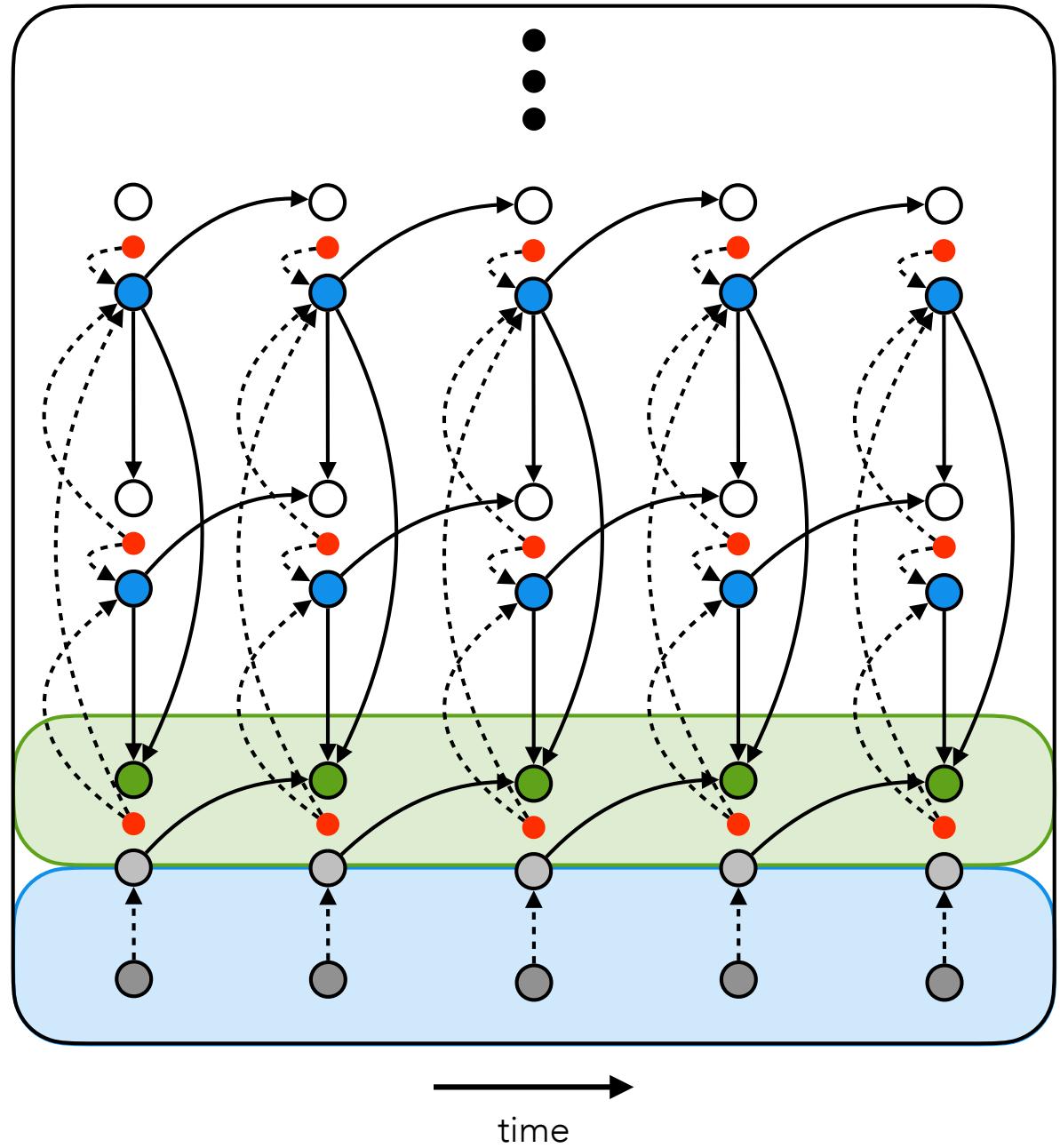
retina

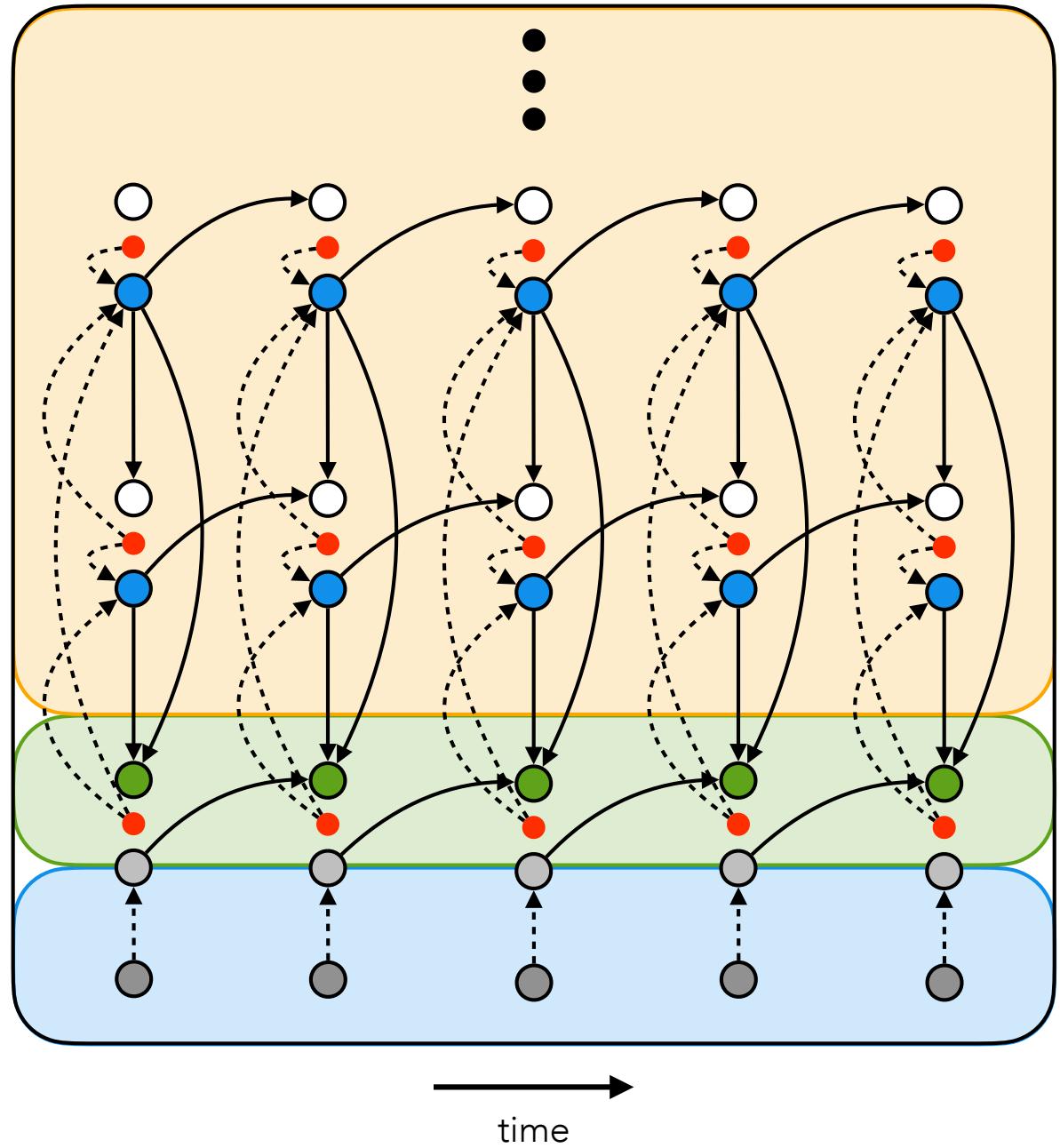
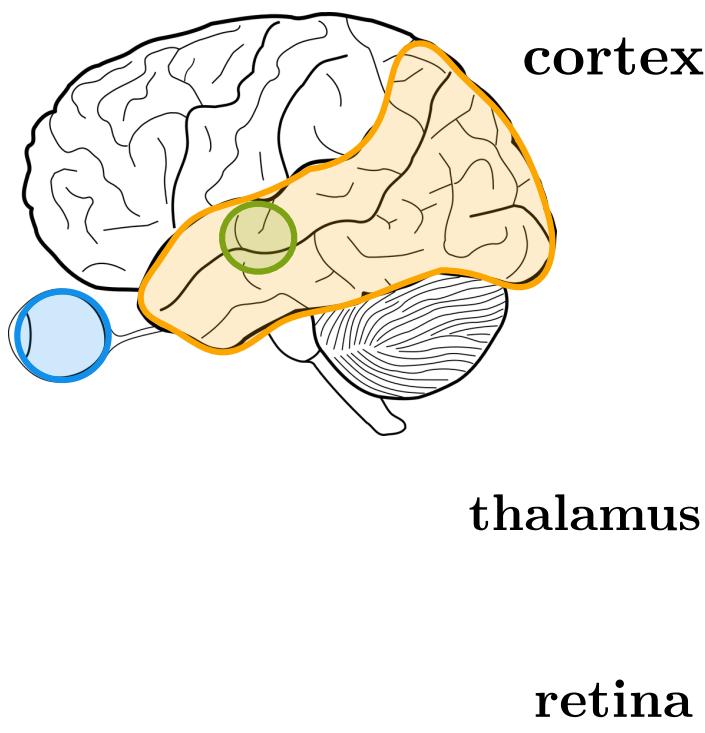


time

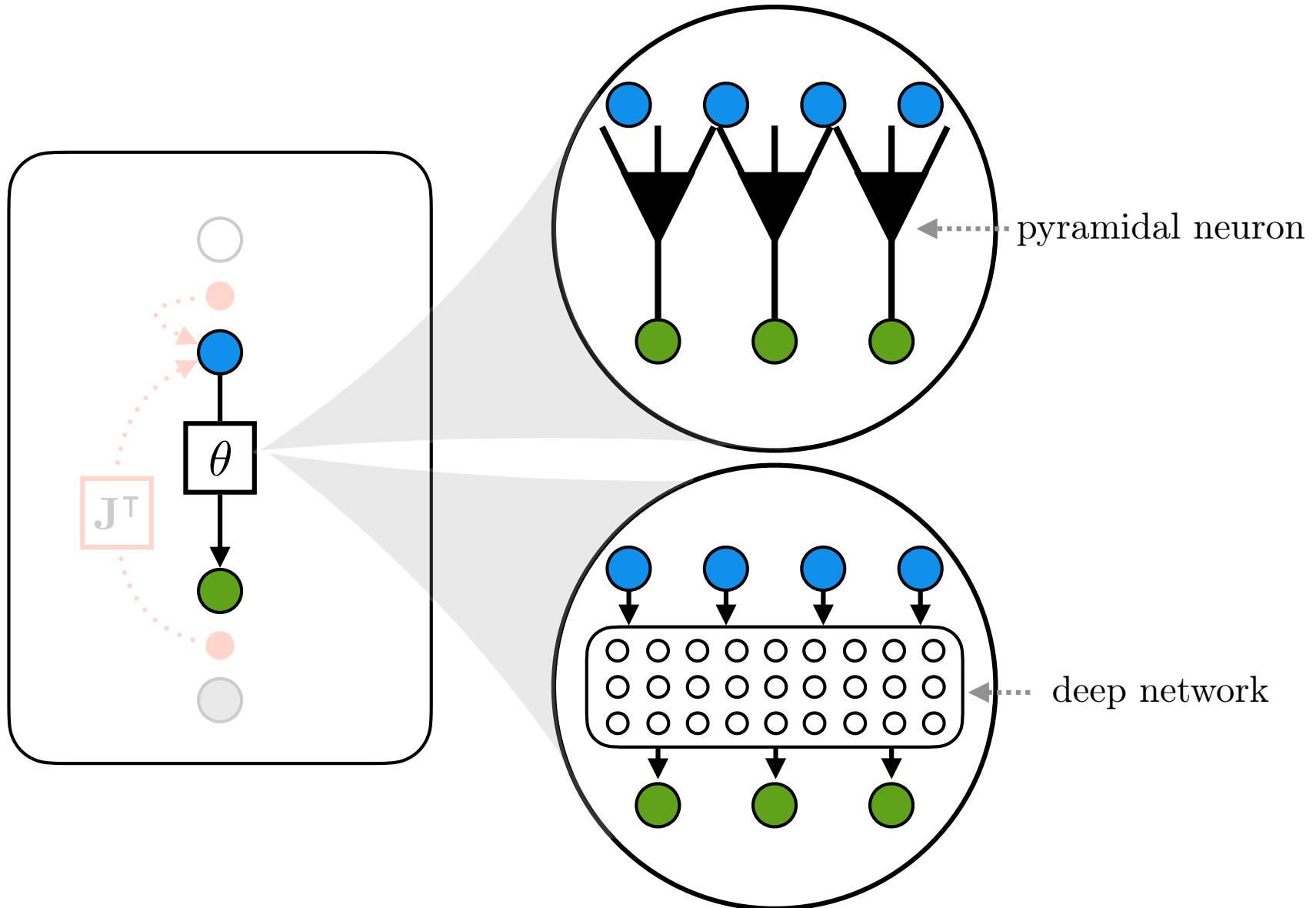


thalamus
retina

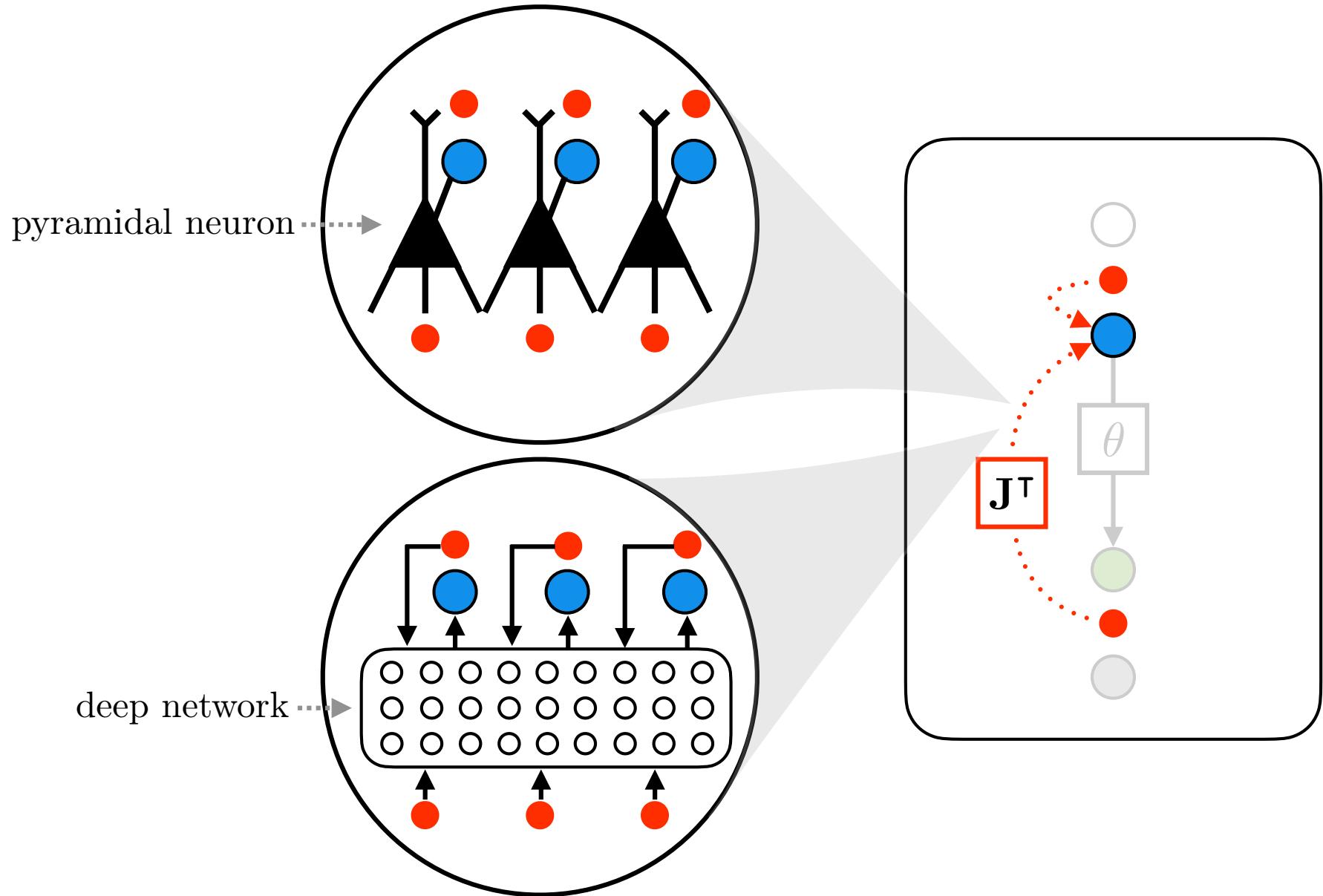




PYRAMIDAL NEURONS AS DEEP NETWORKS

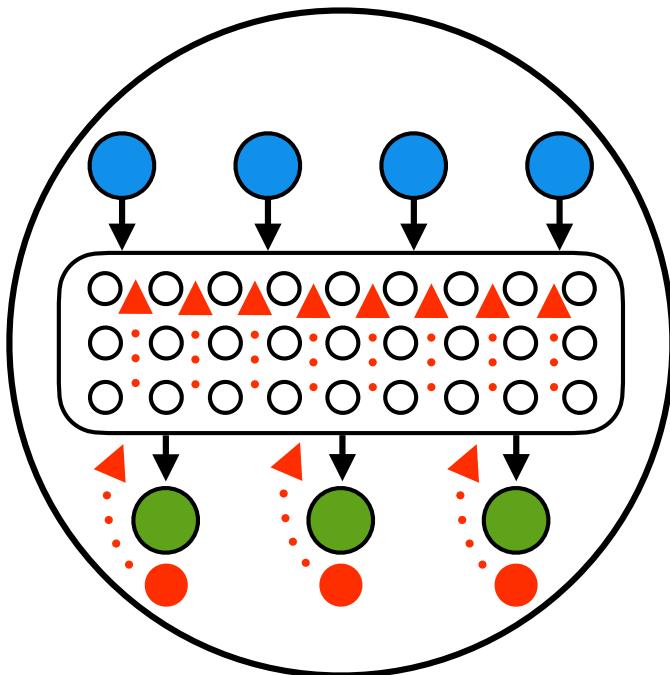


PYRAMIDAL NEURONS AS DEEP NETWORKS

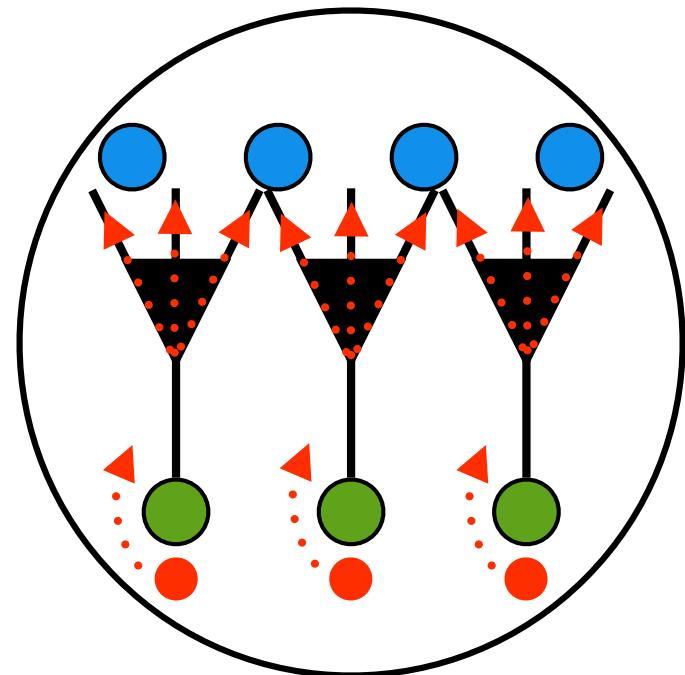


BACKPROPAGATION WITHIN NEURONS

in hierarchical latent variable models, errors provide a **local** training signal
see, e.g., target propagation (Bengio, 2014)

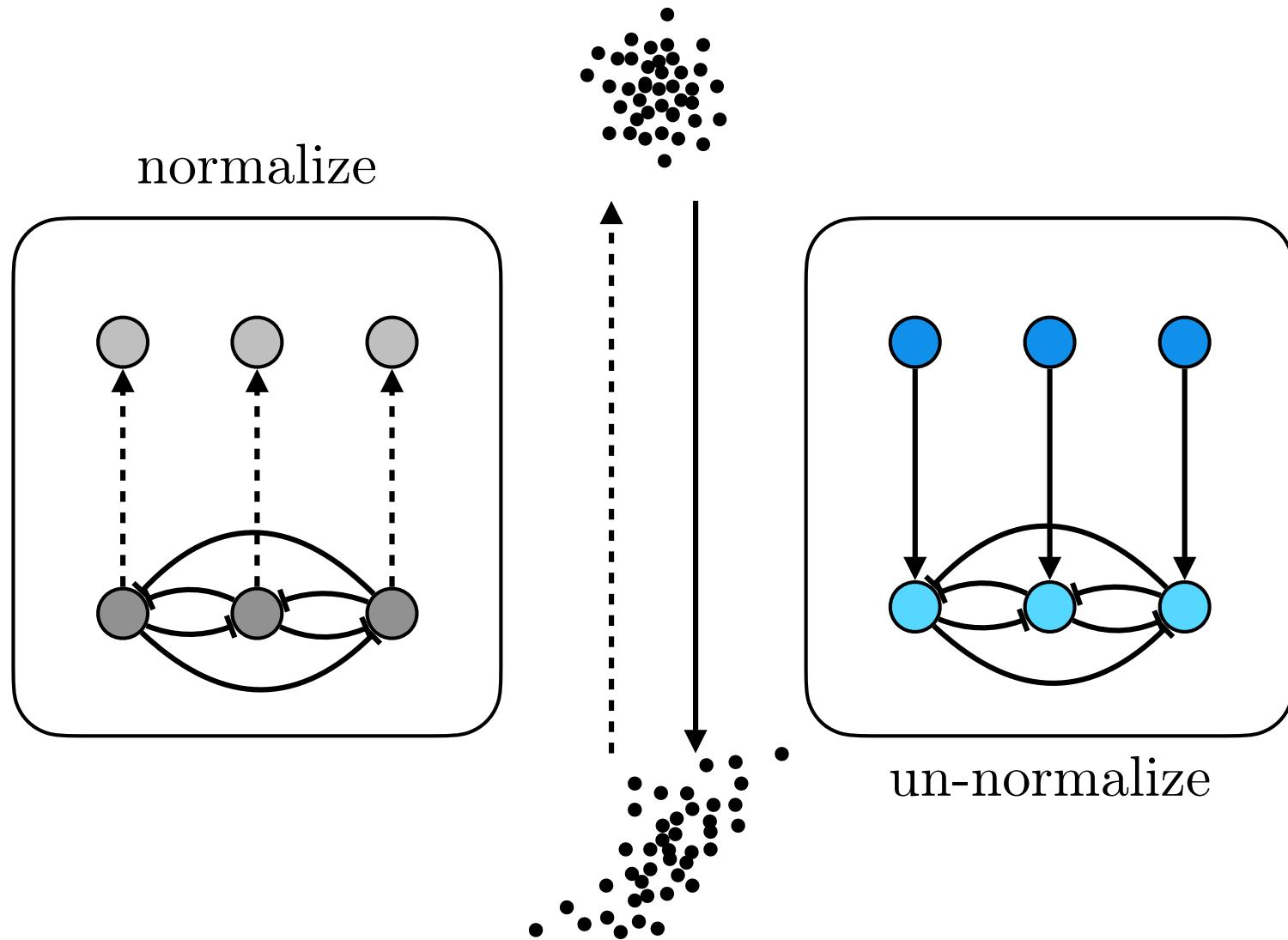


only need to
backpropagate gradients
between variables

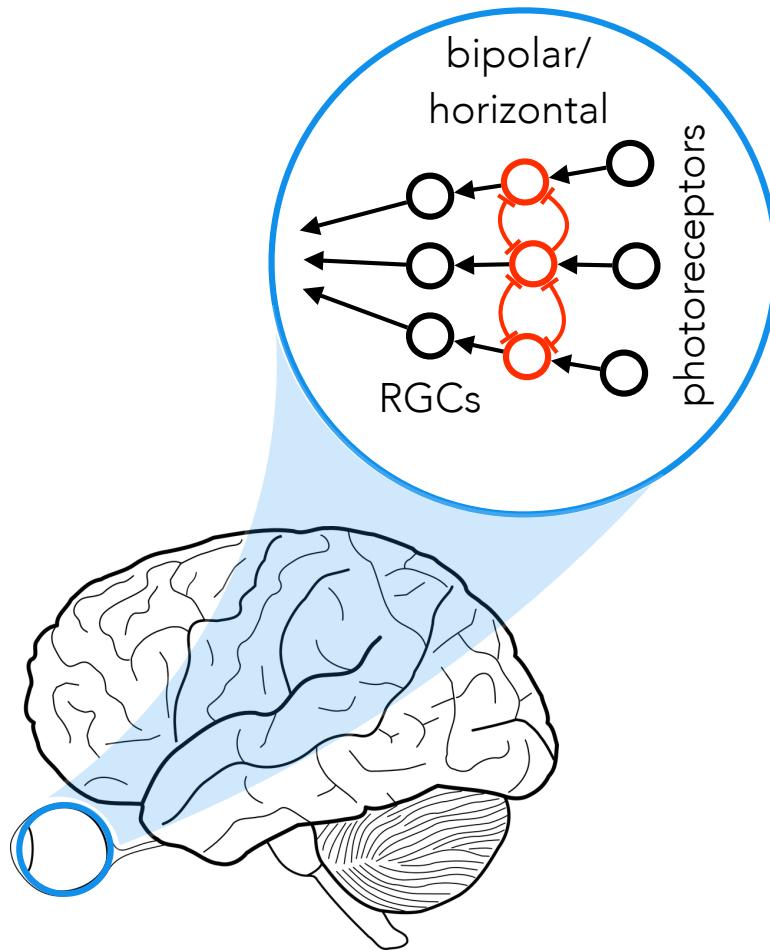


corresponding process
would occur within neurons

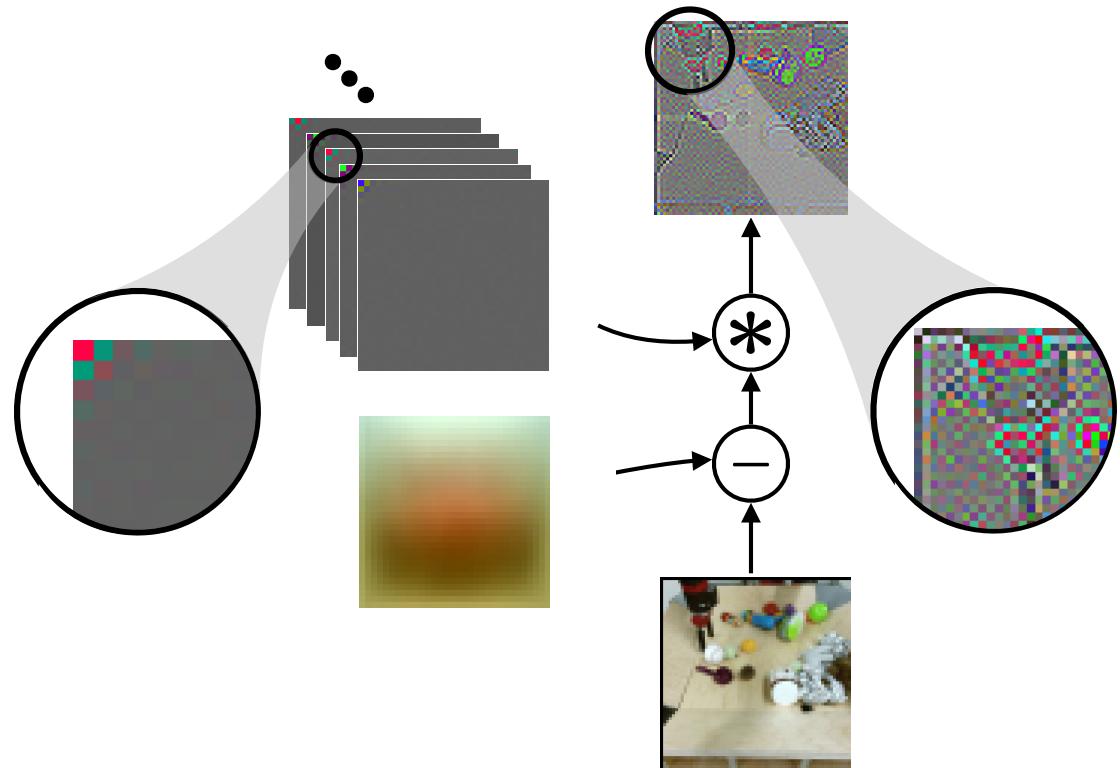
interneurons can add or remove spatiotemporal dependencies between neurons



LATERAL INHIBITION & NORMALIZING FLOWS

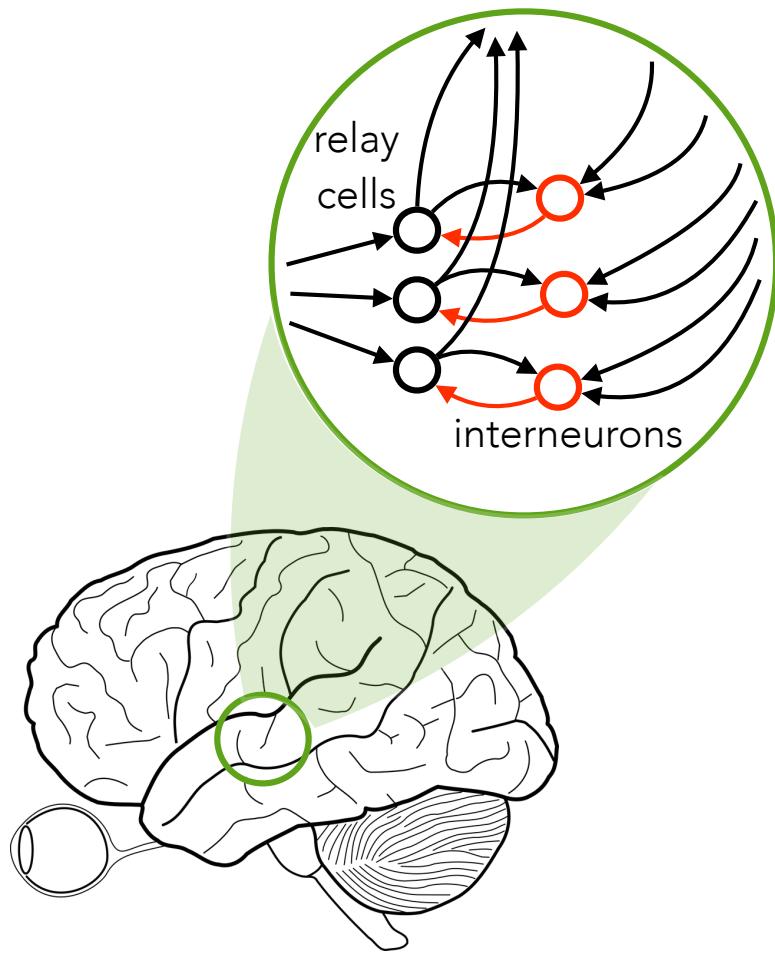


retinal interneurons
remove spatial dependencies

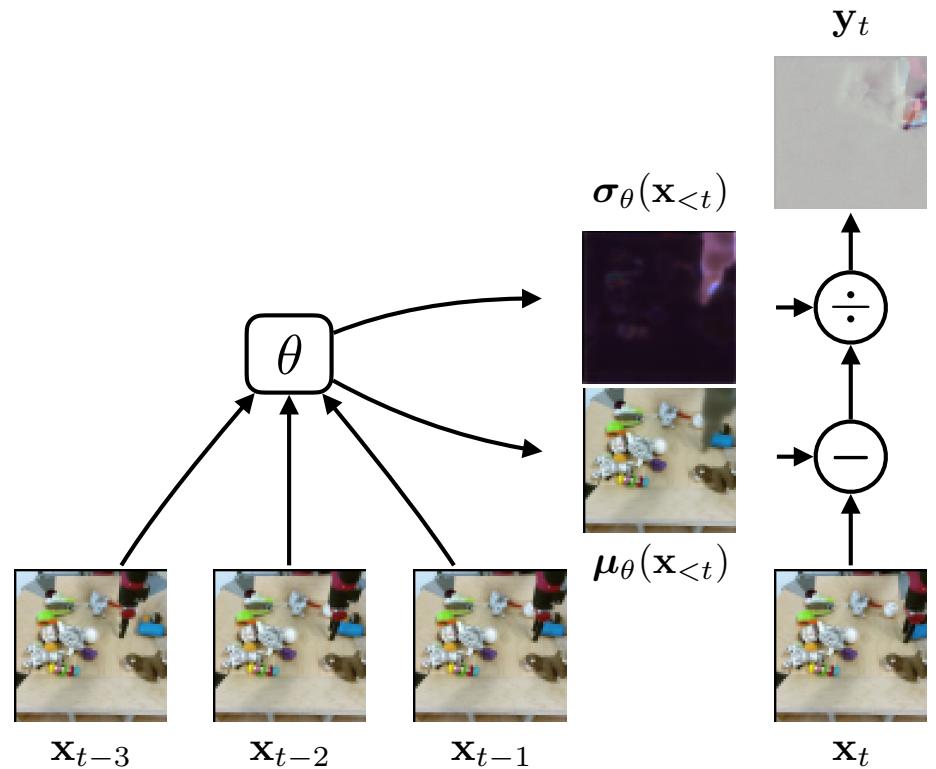


ZCA whitening:
inverting a linear affine normalizing flow

LATERAL INHIBITION & NORMALIZING FLOWS

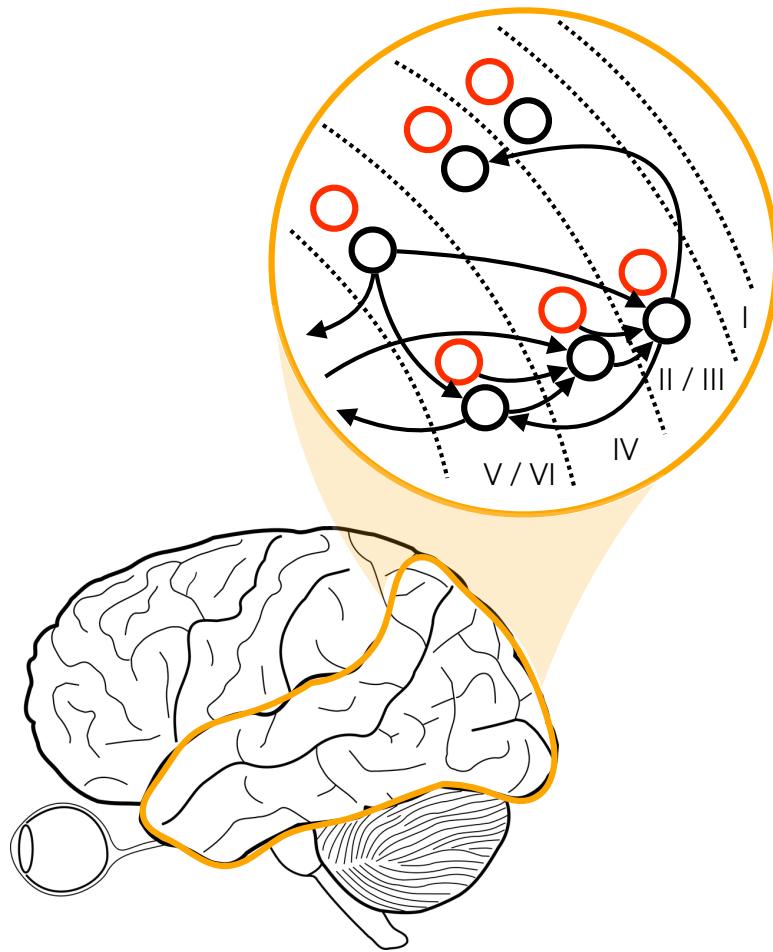


thalamic interneurons
remove low-level temporal dependencies

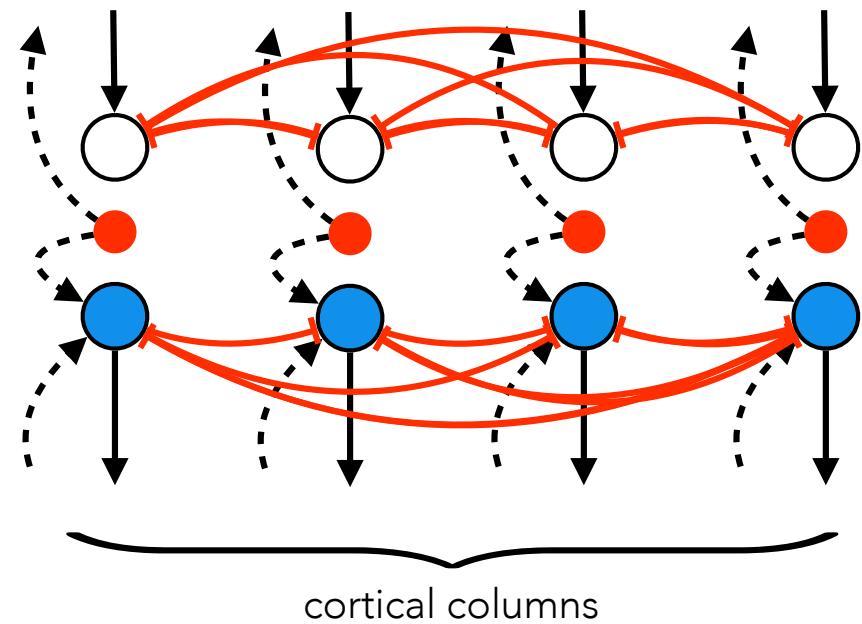


temporal whitening:
inverting an affine normalizing flow

LATERAL INHIBITION & NORMALIZING FLOWS



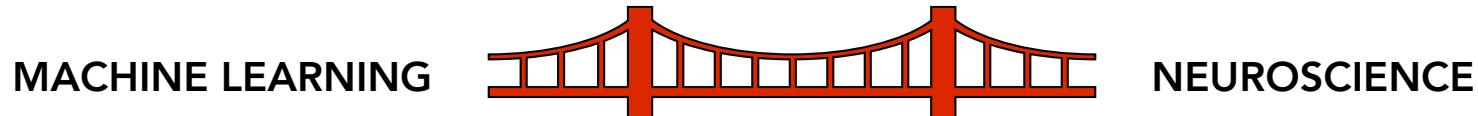
cortical interneurons
add/remove spatiotemporal dependencies
between columns



forward/inverse normalizing flows

SUMMARY OF CORRESPONDENCES

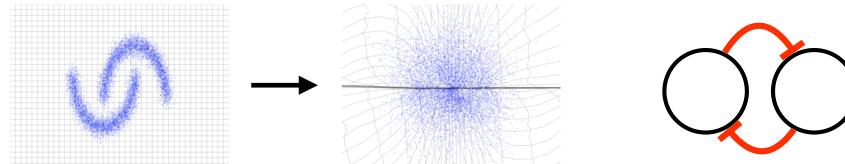
by traversing the bridge between predictive coding & VAEs...



deep networks & pyramidal neurons

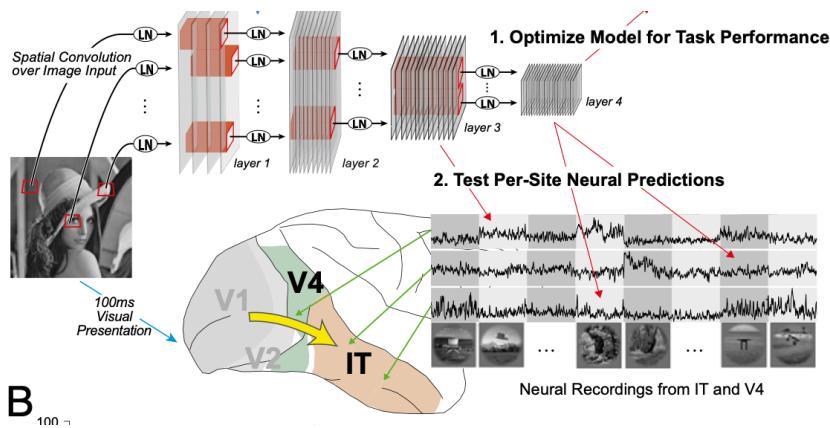


normalizing flows & lateral inhibition



A NEW COMPUTATIONAL MODEL FOR NEUROSCIENCE

current efforts to compare deep networks and the brain are limited

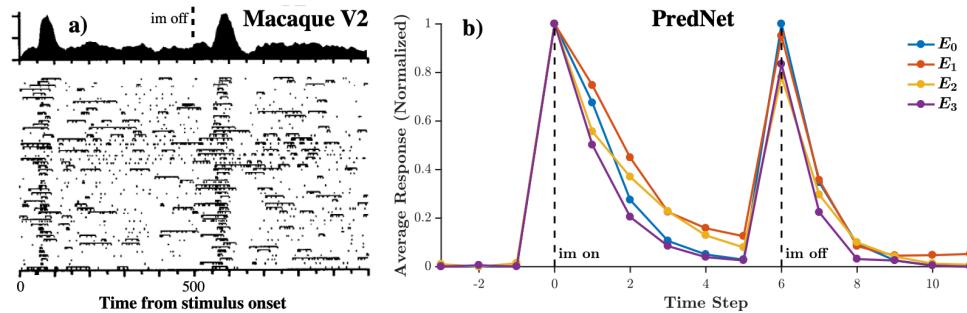


compare with supervised learning
on brief image presentation

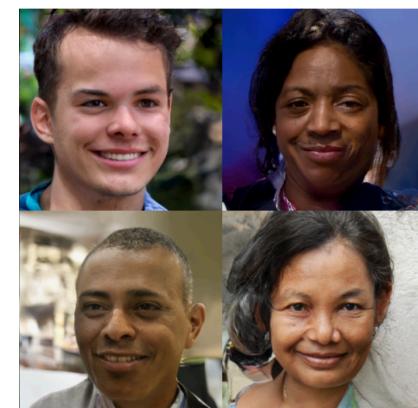
ignore feedback + dynamics

Yamins et al., 2014

large-scale VAEs provide a route toward testing predictive coding

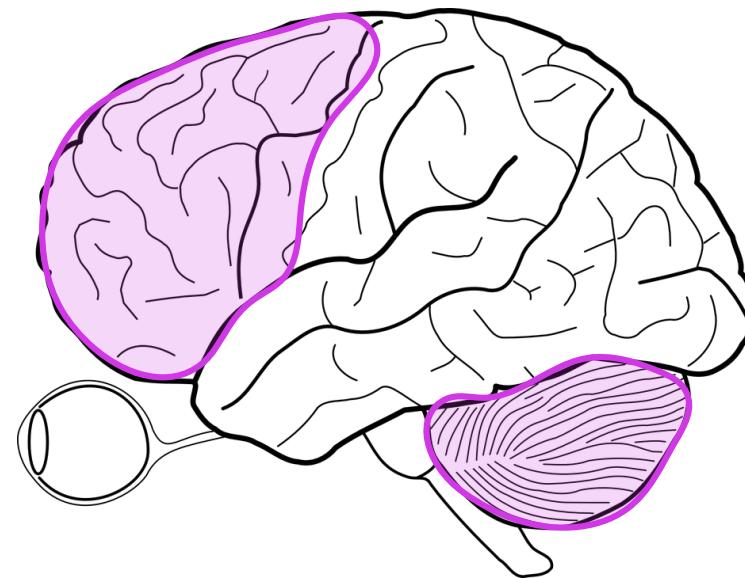


Lotter et al., 2018



Child, 2020

MOTOR CONTROL / EXECUTIVE FUNCTION



can apply the same computational techniques to control/RL

→ prediction errors drive **feedback control**

Iterative Amortized Policy Optimization, Marino et al., 2020
[arXiv:2010.10670](https://arxiv.org/abs/2010.10670)

Thank You